

Chord diagrams, topological quantum field theory, and the sutured Floer homology of solid tori

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Outline

- 1 Background
 - Sutured Floer homology, contact elements, TQFT
 - Solid tori, Catalan, Narayana
- 2 Contact elements in $SFH(T)$
 - Computation, addition of contact elements
 - Creation operators, basis of contact elements
 - Partial order, main theorem
- 3 Contact geometry applications
 - Stackability
 - Contact 2-category
- 4 Idea of proof of main theorems
 - Comparable pairs and bypass systems

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Sutured manifolds & SFH

Juhász 2006, *Holomorphic discs and sutured manifolds*

Sutured manifold (M, Γ)

M 3-manifold with boundary.

Γ collection of disjoint simple closed curves on boundary, dividing ∂M into positive/negative regions.

(Balanced.)

$(M, \Gamma) \rightsquigarrow SFH(M, \Gamma)$

- Take sutured Heegaard decomposition, symmetric product of Heegaard surface.
- Chain complex generated by intersection points of α, β tori.
- Differential counts certain holomorphic curves in symmetric product with certain boundary conditions.
- Invariant of (balanced) sutured manifold.

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Contact elements

Closed case Ozsváth–Szabó 2005, Honda–Kazez–Matić 2007; sutured case
Honda–Kazez–Matić 2007, *The contact invariant in sutured Floer homology*

Contact structure on **sutured** manifold

ξ contact structure on (M, Γ) :

- ∂M convex
- Γ dividing set
- Positive/negative regions.

Theorem (Honda–Kazez–Matić)

A contact structure ξ on (M, Γ) gives a well-defined contact element $c(\xi) \in SFH(-M, -\Gamma)$.

We take \mathbb{Z}_2 coefficients throughout.

With \mathbb{Z} coefficients, $c(\xi)$ subset of form $\{\pm x\}$.

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Properties of SFH

Contact element properties:

(HKM 2007, *The contact invariant in sutured Floer homology*)

- ξ **overtwisted** $\Rightarrow c(\xi) = 0$
- (M, Γ, ξ) **embeds in closed** (N, ξ') with $c(\xi') \neq 0 \Rightarrow c(\xi) \neq 0$.

Every generator of chain complex has a spin-c structure \mathfrak{s} .

SFH splits over spin-c structures:

$$SFH(M, \Gamma) = \bigoplus_{\mathfrak{s}} SFH(M, \Gamma, \mathfrak{s}).$$

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TQFT property

Honda–Kazez–Matić 2008, *Contact structures, sutured Floer homology and TQFT*

Theorem

Given

- $(M', \Gamma') \hookrightarrow (M, \Gamma)$ *inclusion of sutured manifolds*.
- ξ'' *contact structure on $(M - M', \Gamma \cup \Gamma')$*

there is a natural map

$$SFH(M', \Gamma') \longrightarrow SFH(M, \Gamma).$$

Further

$$c(\xi') \mapsto c(\xi' \cup \xi'').$$

“TQFT-inclusion”.

(Actually $\longrightarrow SFH(M, \Gamma) \otimes V^m$ where m is number of “isolated” components).

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The question

Motivating question:

How do contact elements lie in SFH ?

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Solid tori

Only sutured 3-manifolds we consider are *solid tori*.

Sutured manifold (T, n)

- Solid torus $D^2 \times S^1$
- **Convex boundary** $\partial D^2 \times S^1$
- **Longitudinal dividing set** $F \times S^1$,
 F finite, $|F| = 2n$.

(Notational cover-up: $(T, n) = (-(D^2 \times S^1), -(F \times S^1))$.)

- Part of the $(1 + 1)$ -dimensional TQFT discussed in HKM 2008.

To classify contact structures:

- consider dividing sets on convex meridian disc and boundary torus

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Convex surfaces

Giroux 1991, *Convexité en topologie de contact*

Generic property for embedded surface in contact 3-manifold.

Convex surface S

There exists a contact vector field X transverse to S .

“Invariant vertical direction”.

Dividing set

$$\Gamma = \{x \in S : X(x) \in \xi\}.$$

“Where ξ is perpendicular”.

Dividing set *divides* S into positive/negative regions S_{\pm} .

Euler class evaluation:

$$e(\xi)[S] = \chi(S_+) - \chi(S_-).$$

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Contact structure near a convex surface

Giroux 1991, *Convexité en topologie de contact*,

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Theorem (Giroux)

The dividing set essentially determines the contact structure near a convex surface.

Given S, Γ , is the nearby contact structure tight?

For $S \neq S^2$:

Contact structure is tight iff Γ has no contractible components.

For $S = S^2$:

Contact structure is tight iff Γ has one component.

If $S^2 = \partial B^3$, tight contact structure near boundary extends uniquely over ball (Eliashberg).

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Euler class of chord diagram

Chord diagram has relative euler class e .

$$|e| \leq n - 1, \quad e + n \equiv 1 \pmod{2}.$$

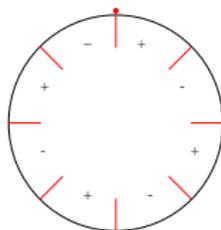


Figure: Basepoint, convention for signs of regions.

Contact structures on (T, n) are chord diagrams

Honda 2000, *On the classification of tight contact structures. II*;

Honda 2002, *Gluing tight contact structures*;

Giroux 2001, *Structures de contact sur les variétés fibrées en cercles...*

Chord diagram determines at most one tight contact structure on $D^2 \times S^1$:

- Cut into solid cylinder, round corners of D^3

For solid tori in general:

- Chord diagrams on D may give overtwisted contact structure on $D^2 \times S^1$
- Distinct chord diagrams may give isotopic contact structures.

However with *longitudinal sutures* of (T, n) , neither occurs.

Theorem (Honda, Giroux)

$$\left\{ \begin{array}{c} \textit{Tight contact structures} \\ \textit{on } (T, n) \end{array} \right\} \leftrightarrow \left\{ \begin{array}{c} \textit{Chord diagrams} \\ \textit{with } n \textit{ chords} \end{array} \right\}$$

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Catalan and Narayana numbers

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Catalan numbers $C_n = 1, 1, 2, 5, 14, 42, 132, 429, \dots$

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Narayana numbers C_n^e :

				1				
			1		1			
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	1		6		6		1	
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The Catalan disease

and Narayana symptoms

Catalan:

- tight ct. str's on (T, n)
- chord diagrams, n chords
- pairings of n brackets
- Dyck paths length $2n$
- rooted planar bin. trees
- Recursion

$$C_{n+1} = \sum_{n_1+n_2=n} C_{n_1} C_{n_2}.$$

Narayana:

- # with euler class e
- # with euler class e
- # with k occurrences of “()”
- # with k peaks
- # with k “left” leaves
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Computation of $SFH(T, n)$

Juhász 2008, “Floer homology and surface decompositions”

Honda–Kazez–Matić 2008, *Contact structures, sutured Floer homology and TQFT*

Theorem

$$SFH(T, n + 1) = \mathbb{Z}_2^{2^n}.$$

Split over spin-c structures:

$$SFH(T, n + 1) = \bigoplus_k \mathbb{Z}_2^{\binom{n}{k}}.$$

For ξ with euler class e ,

$$c(\xi) \in \mathbb{Z}_2^{\binom{n}{k}} \quad \text{where} \quad k = \frac{e + n}{2}$$

so let

$$SFH(T, n + 1, e) = \mathbb{Z}_2^{\binom{n}{k}}.$$

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“Categorified Pascal triangle”

$$\begin{array}{r}
 SFH(T, 1) \\
 SFH(T, 2) \\
 SFH(T, 3) \\
 SFH(T, 4) \\
 \dots
 \end{array}
 =
 \begin{array}{ccccccc}
 & & & & SFH(T, 1, 0) & & \\
 & & & & \oplus & & \\
 & & & SFH(T, 2, -1) & \oplus & SFH(T, 2, 1) & \\
 & & SFH(T, 3, -2) & \oplus & \oplus & SFH(T, 3, 2) & \\
 & SFH(T, 4, -3) & \oplus & SFH(T, 4, -1) & \oplus & \oplus & SFH(T, 4, 3) \\
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Catalan and Pascal triangle

Contact elements in each $SFH(T, n, e)$ form a distinguished subset of order C_n^e .

$$\left\{ \begin{array}{cccccc} & & & 1 & & & \\ & & & & 1 & & \\ & & 1 & & 1 & & \\ & 1 & & 3 & & 1 & \\ 1 & & 6 & & 6 & & 1 \end{array} \right\} \subset \left\{ \begin{array}{ccccccc} & & & & \mathbb{Z}_2^1 & & \\ & & & & \oplus & \mathbb{Z}_2^1 & \\ & & \mathbb{Z}_2^1 & \oplus & \mathbb{Z}_2^2 & \oplus & \mathbb{Z}_2^1 \\ \mathbb{Z}_2^1 & \oplus & \mathbb{Z}_2^3 & \oplus & \mathbb{Z}_2^3 & \oplus & \mathbb{Z}_2^1 \end{array} \right\}$$

Question:

How do the C_n^e contact elements lie in $\mathbb{Z}_2^{\binom{n}{k}}$?

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Question:

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Addition and bypasses

Are the contact elements a subgroup?

- No.
- Closure under addition described by **bypasses**.

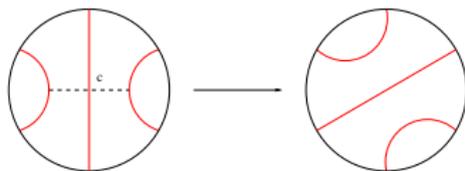


Figure: **Upwards bypass surgery** along arc c .

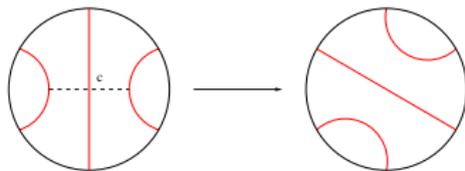


Figure: **Downwards bypass surgery** along arc c .

Reduction to kindergarten

In fact one can show:

Proposition (SFH is combinatorial)

$$SFH(T, n, e) = \frac{\mathbb{Z}_2 \langle \text{Chord diag's, } n \text{ chords, euler class } e \rangle}{\text{Bypass relation}}$$

Also:

- There is a basis of contact elements.
- Distinct contact structures / chord diagrams all give distinct contact elements.

Reduction to kindergarten

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Creation operators

A well-defined way to create **create chords**, enclosing positive/negative regions at the basepoint.

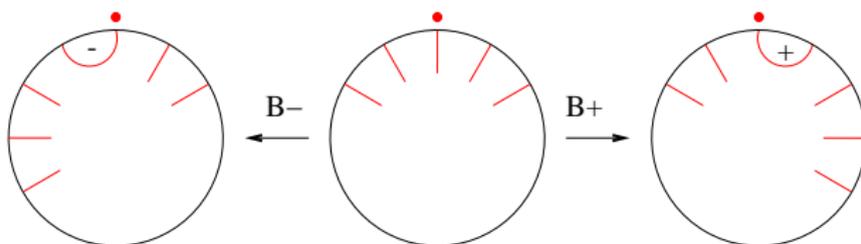


Figure: Creation operators.

We obtain maps

$$B_{\pm} : SFH(T, n, e) \longrightarrow SFH(T, n + 1, e \pm 1).$$

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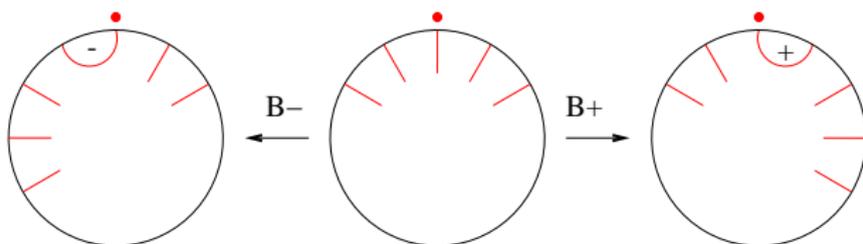


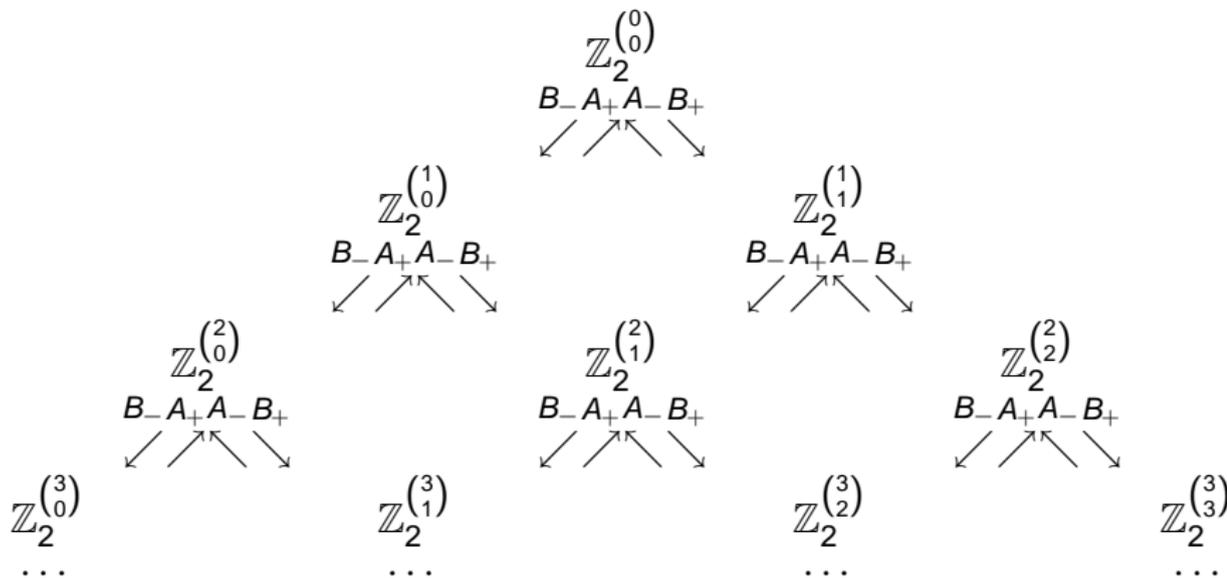
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Morphisms in Pascal's triangle

“Full categorification”



Operator relations, Pascal recursion

Proposition (Creation/annihilation relations)

$$A_+ \circ B_- = A_- \circ B_+ = 1$$

$$A_+ \circ B_+ = A_- \circ B_- = 0$$

Proposition (Categorification of Pascal recursion)

$$SFH(T, n+1, e) = B_+ SFH(T, n, e-1) \oplus B_- SFH(T, n, e+1)$$

I.e.:

$$\mathbb{Z}_2^{\binom{n+1}{k}} = B_+ \mathbb{Z}_2^{\binom{n}{k-1}} \oplus B_- \mathbb{Z}_2^{\binom{n}{k}}$$

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QFT analogy

$SFH(T, n + 1, e) = \text{"}n\text{-particle states of charge } e\text{"}$

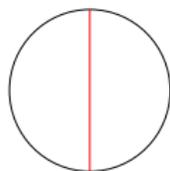


Figure: "The vacuum" $v_\emptyset \in SFH(T, 1, 0) = \mathbb{Z}_2$.

"Basis: apply creation operators to the vacuum"

$W(n_-, n_+) = \{\text{Words on } \{-, +\}, n_- - \text{ signs}, n_+ + \text{ signs}\}$

For $w \in W(n_-, n_+)$, form $v_w \in SFH(T, n + 1, e)$.

$(n = n_- + n_+, e = n_+ - n_-)$

QFT analogy

$SFH(T, n + 1, e) = \text{"}n\text{-particle states of charge } e\text{"}$

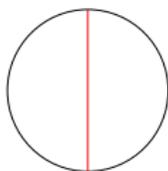


Figure: "The vacuum" $v_\emptyset \in SFH(T, 1, 0) = \mathbb{Z}_2$.

"Basis: apply creation operators to the vacuum"

$W(n_-, n_+) = \{\text{Words on } \{-, +\}, n_- - \text{ signs}, n_+ + \text{ signs}\}$

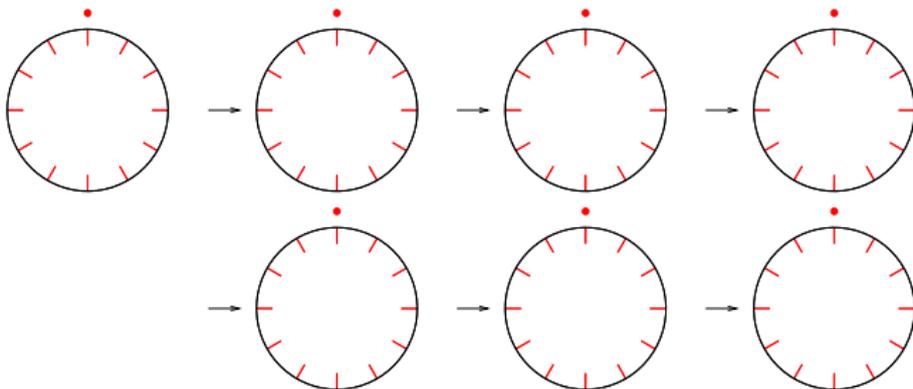
For $w \in W(n_-, n_+)$, form $v_w \in SFH(T, n + 1, e)$.

$(n = n_- + n_+, e = n_+ - n_-)$

“QFT basis”

E.g.

$$v_{-+--+} = B_- B_+ B_- B_+ B_+ v_\emptyset \in SFH(T, 6, 1).$$



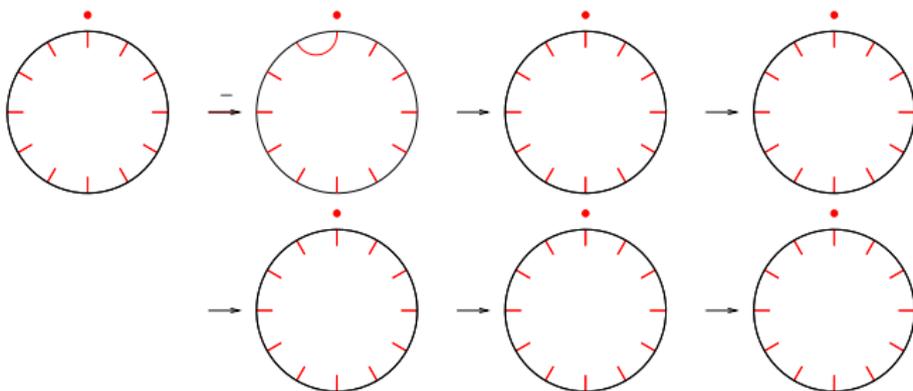
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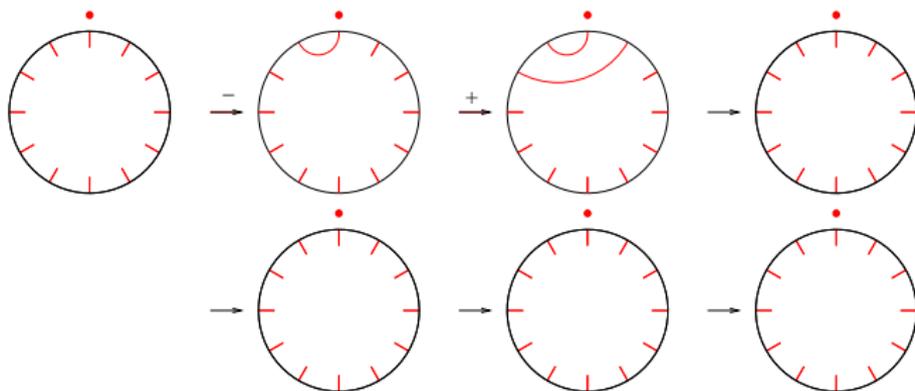
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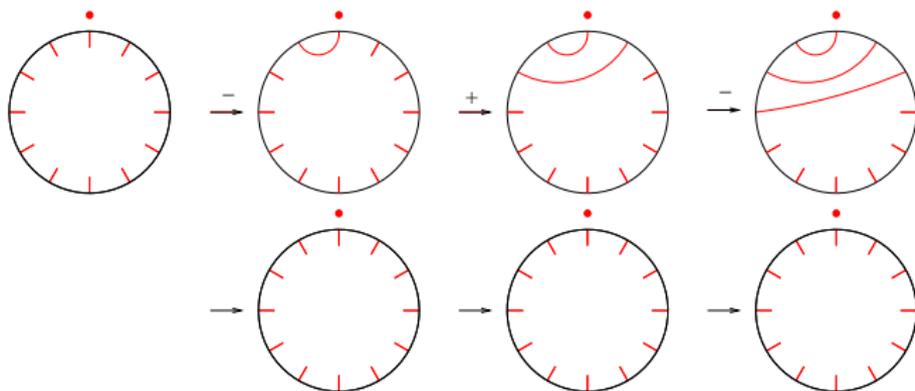
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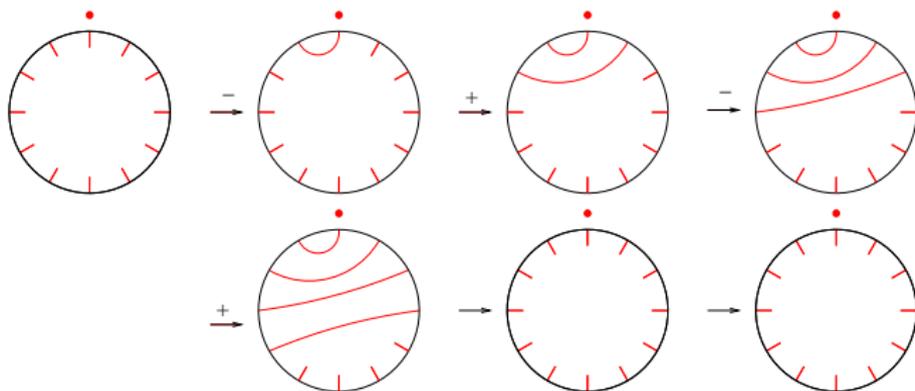
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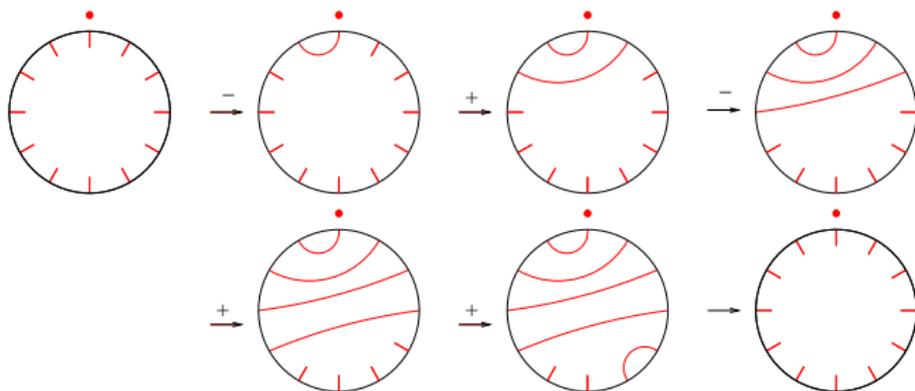
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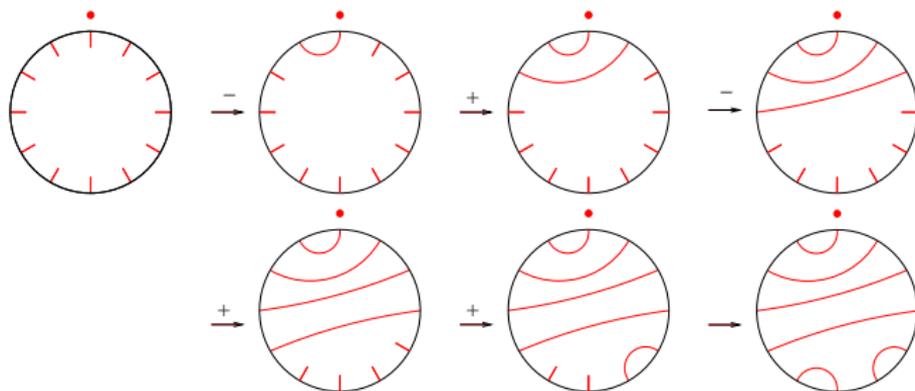
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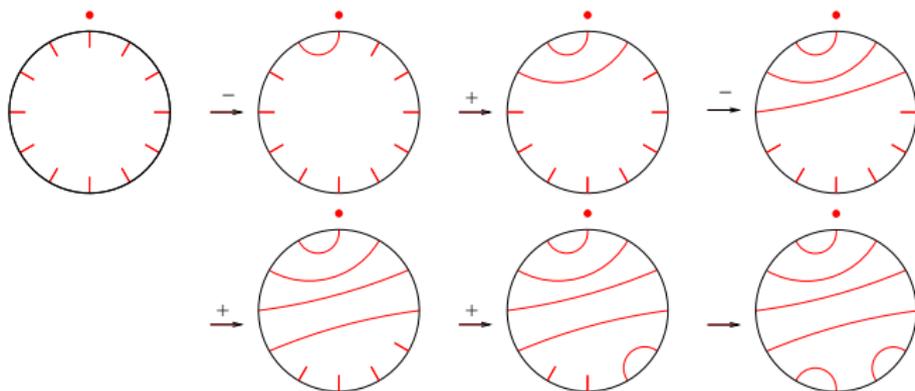
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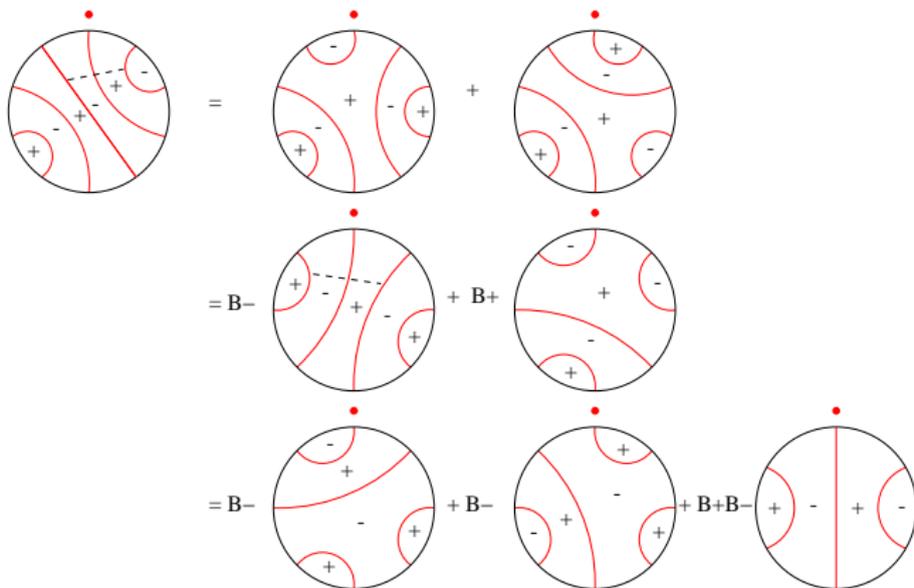
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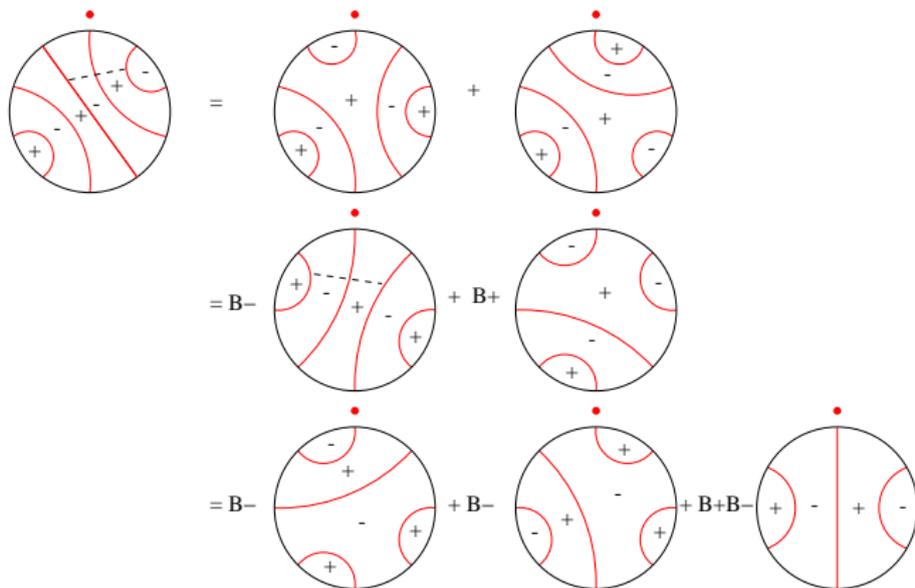
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Basis decomposition

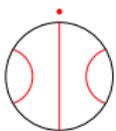


Basis decomposition



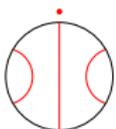
$$\begin{aligned}
 &= B_- B_- B_+ B_+ v_\emptyset + B_- B_+ B_+ B_- v_\emptyset + B_+ B_- (B_- B_+ v_\emptyset + B_+ B_- v_\emptyset) \\
 &= v_{--++} + v_{-++-} + v_{+--+} + v_{+--+}
 \end{aligned}$$

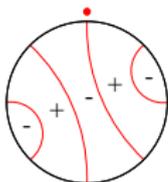
Examples of basis decomposition



$$\begin{array}{cc} - & + \\ + & - \end{array}$$

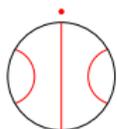
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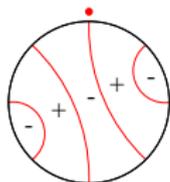


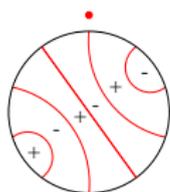
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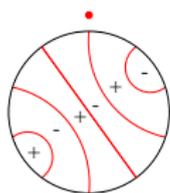
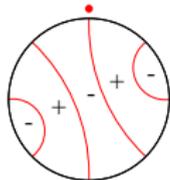
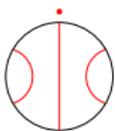


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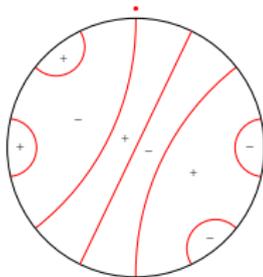
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$$\begin{array}{cccc} - & - & + & + \\ - & + & + & - \\ + & - & - & + \\ + & - & + & - \end{array}$$

Examples of basis decomposition



- +
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- 1 Background
 - Sutured Floer homology, contact elements, TQFT
 - Solid tori, Catalan, Narayana
- 2 Contact elements in $SFH(T)$
 - Computation, addition of contact elements
 - Creation operators, basis of contact elements
 - **Partial order, main theorem**
- 3 Contact geometry applications
 - Stackability
 - Contact 2-category
- 4 Idea of proof of main theorems
 - Comparable pairs and bypass systems

Orderings on $W(n_-, n_+)$

- **Lexicographic ordering:** Total order.
- Partial order \preceq : “All minus signs move right (or stay where they are).”

E.g.

$$--++ \preceq +-+-$$

but

$$-++-, \quad +-- + \text{ not comparable.}$$

Theorem

Write a contact element v as a sum of basis vectors

$$v = \sum_w v_w, \quad w \in W(n_-, n_+).$$

Let w_-, w_+ be (lex.) first and last words occurring.

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Chord diagram = comparable pair

Now have

$\Phi : \{\text{Contact elements}\} \longrightarrow \{\text{Comparable pairs of words}\}$

$$v = \sum_w v_w \mapsto (w_-, w_+)$$

Proposition

*These sets have the same cardinality.
I.e. # comparable pairs of words = C_n^e .*

Theorem

Φ is a bijection.

I.e. for any $w_- \preceq w_+ \exists!$ contact element with v_{w_-} first, v_{w_+} last.

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Other properties of contact elements

Notation $v = [w_-, w_+]$.

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The number of terms in the basis decomposition of a contact element v is

$$\begin{cases} 1 & \text{if } v \text{ is a basis element.} \\ \text{even} & \text{otherwise.} \end{cases}$$

Theorem (Not much comparability)

Suppose v_w occurs in the basis decomposition of the contact element $v = [w_-, w_+]$.

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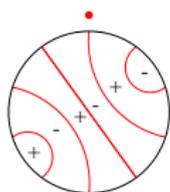
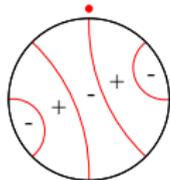
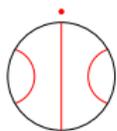
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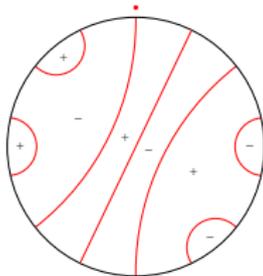
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Summary of results

- Distinct chord diagrams/contact structures give distinct contact elements.
- Contact elements not a subgroup, but “addition means bypasses”.
- Can give a basis for each $SFH(T, n, e)$ consisting of chord diagrams / contact elements.
- There is a partial order \preceq on each basis.
- Chord diagrams / contact structures correspond precisely to comparable pairs of basis elements.

Stacking construction

Given Γ_0, Γ_1 chord diagrams, consider $\mathcal{M}(\Gamma_0, \Gamma_1)$:

- sutured solid cylinder $D \times I$
- Γ_i sutures along $D \times \{i\}$
- Vertical interleaving sutures along $\partial D \times I$.

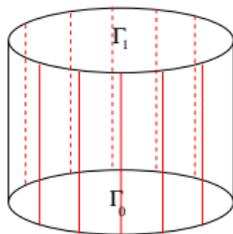


Figure: $\mathcal{M}(\Gamma_0, \Gamma_1)$.

$\mathcal{M}(\Gamma_0, \Gamma_1)$ is tight if it admits a tight contact structure.

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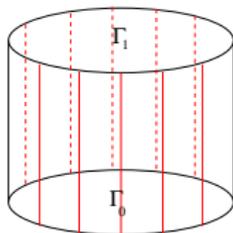


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Stackability constructions

Proposition (Stackability map)

There is a linear map

$$m : SFH(T, n, e) \otimes SFH(T, n, e) \longrightarrow \mathbb{Z}_2$$

taking (Γ_0, Γ_1) to **1** if $\mathcal{M}(\Gamma_0, \Gamma_1)$ is tight, and **0** if overtwisted.

Proposition (Contact interpretation of \preceq)

$\mathcal{M}(\Gamma_{w_0}, \Gamma_{w_1})$ is tight iff $w_0 \preceq w_1$.

Proposition (General stackability)

Γ_0, Γ_1 chord diagrams, n chords, euler class e .

$\mathcal{M}(\Gamma_0, \Gamma_1)$ is tight $\Leftrightarrow \# \left\{ (w_0, w_1) : \begin{array}{l} w_0 \preceq w_1 \\ \Gamma_{w_i} \text{ occurs in } \Gamma_i \end{array} \right\}$ is odd.

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Other stackability properties

- $m(\Gamma, \Gamma) = 1$.
- Suppose Γ_0, Γ_1 have an outermost chord γ in the same position.
Then $m(\Gamma_0, \Gamma_1) = m(\Gamma_0 - \gamma, \Gamma_1 - \gamma)$.
- Γ_0, Γ_1 related by bypass move (in correct order).
Then $m(\Gamma_0, \Gamma_1) = 1$.

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Contact category

Honda (unpublished...)

Σ surface.

Contact category $\mathcal{C}(\Sigma)$

Objects:

- Dividing sets Γ on Σ (= Contact structures near Σ)

Morphisms $\Gamma_0 \longrightarrow \Gamma_1$:

- Contact structures on $\Sigma \times I$ with $\Gamma_{\Sigma \times \{i\}} = \Gamma_i$.

Properties:

- Behaves functorially w.r.t. SFH .
- Obeys some of the axioms of a triangulated category:
 - Distinguished triangles = bypass triples
 - Octahedral axiom \sim 6 contact elements in $SFH(T, 4, 1) \cong \mathbb{Z}_2^3$.

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A 2-category

On D^2 have $\mathcal{C}(D^2, n, e)$

(restrict to chord diagrams, n chords, euler class e):

- Objects in $\mathcal{C}(D^2, n, e)$ (= chord diagrams) given by **partial order** $[w_-, w_+]$.
- A partial order is a **category**.

Contact 2-category $\mathcal{C}(n+1, e)$

- Objects = words in $W(n_-, n_+) =$ basis chord diagrams
- 1-morphisms = {partial order \preceq } = chord diagrams
- 2-morphisms = contact structures on $\mathcal{M}(\Gamma_0, \Gamma_1)$.

Proposition

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An explicit construction

Prove correspondence

$$\{ \text{Chord diagrams} \} \leftrightarrow \left\{ \begin{array}{c} \text{Comparable pairs} \\ \text{of words} \end{array} \right\}$$

Essential idea:

Given $w_1 \preceq w_2$, construct a chord diagram Γ whose decomposition has w_1 first and w_2 last.

- Along the way, show that every other word w in the decomposition has $w_1 \preceq w \preceq w_2$.
- Elementary combinatorics gives $\#\{\text{pairs } (w_1 \preceq w_2)\} = C_n^e$.
- Done.

An explicit construction

Prove correspondence

$$\{ \text{Chord diagrams} \} \leftrightarrow \left\{ \begin{array}{c} \text{Comparable pairs} \\ \text{of words} \end{array} \right\}$$

Essential idea:

Given $w_1 \preceq w_2$, construct a chord diagram Γ whose decomposition has w_1 first and w_2 last.

- Along the way, show that every other word w in the decomposition has $w_1 \preceq w \preceq w_2$.
- Elementary combinatorics gives $\# \{ \text{pairs } (w_1 \preceq w_2) \} = C_n^e$.
- Done.

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- Done.

Bypass systems

Take $\Gamma_{w_1}, \Gamma_{w_2}$ basis chord diagrams, $w_1 \preceq w_2$.

Proposition

- 1 On Γ_{w_1} there exists a bypass system $FBS(\Gamma_{w_1}, \Gamma_{w_2})$ such that performing **upwards** bypass moves along it gives Γ_{w_2} .
- 2 On Γ_{w_2} there exists a bypass system $BBS(\Gamma_{w_1}, \Gamma_{w_2})$ such that performing **downwards** bypass moves gives Γ_{w_1} .

Proposition

Performing either:

- 1 **downwards** bypass moves on Γ_{w_1} along $FBS(\Gamma_{w_1}, \Gamma_{w_2})$, or
 - 2 **upwards** bypass moves on Γ_{w_2} along $BBS(\Gamma_{w_1}, \Gamma_{w_2})$
- gives a chord diagram containing w_1, w_2 in decomposition and:
- for all words w in the decomposition, $w_1 \preceq w \preceq w_2$.

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Proof by increasingly difficult example

Easy level

“Elementary move” on word = Bypass move on “attaching arc”.

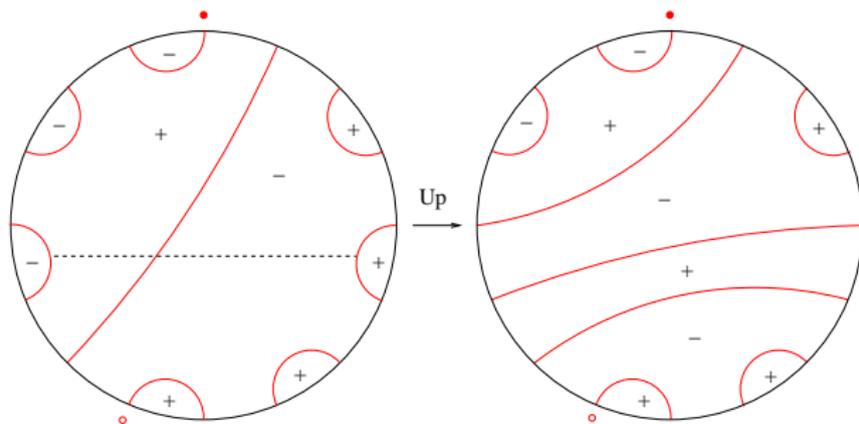


Figure: Upwards move from $\Gamma_{---++++}$ to $\Gamma_{--++--++}$.

Proof by increasingly difficult example

Medium level

{ “Generalized elementary move” on word } = { Bypass moves on “generalized attaching arc” }

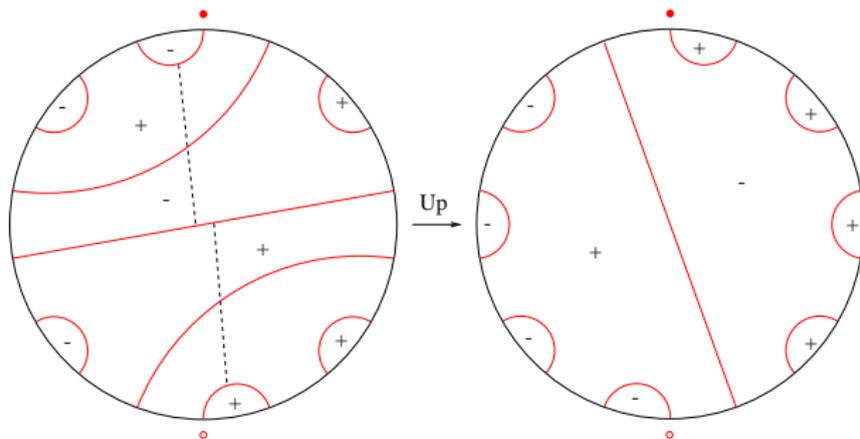


Figure: Upwards moves from $\Gamma_{--++--++}$ to $\Gamma_{++++-----}$.

Proof by increasingly difficult example

Hard level

{ “Nicely ordered sequence”
 of “generalized elementary
 moves” on word } = { Bypass moves on
 “well placed sequence” of
 “generalized attaching arcs” }

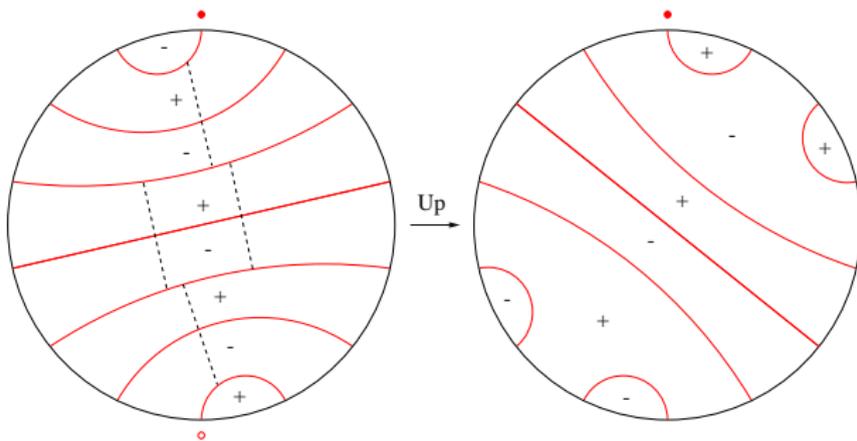


Figure: Upwards moves from Γ_{-++--+} to Γ_{++-+--} .