

Chord diagrams, contact-topological quantum field theory, and contact categories

Daniel Mathews

Stanford University
mathews@math.stanford.edu

Thesis defence
29 May 2009

Outline

- 1 Background
 - Contact geometry
 - Sutured Floer homology, contact elements, TQFT
- 2 Contact elements in $SFH(T)$
 - Solid tori, contact structures, Catalan, Narayana
 - Computation, addition of contact elements
 - Creation operators, basis of contact elements
- 3 Main theorems
 - Statements
 - Properties of contact elements
- 4 Contact geometry applications
 - Stackability
 - Contact categories
- 5 Idea of proof of main theorems
 - Comparable pairs and bypass systems

1 Background

- Sutured Floer homology, contact elements, TQFT

- Solid tori, contact structures, Catalan, Narayana

- Computation, addition of contact elements
- Creation operators, basis of contact elements

- Statements
- Properties of contact elements

- Stackability
- Contact categories

- Comparable pairs and bypass systems

The idea of contact geometry

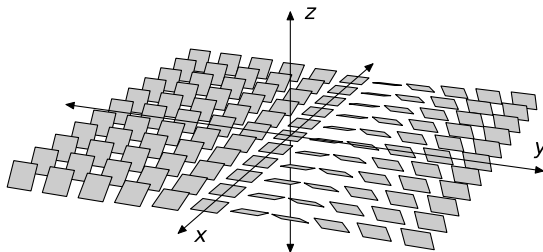
Contact geometry is the study of

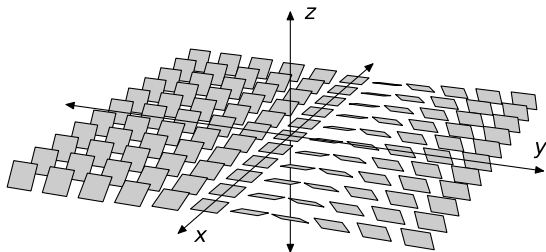
non-integrable plane distributions ξ .

(I.e. no surfaces tangent to ξ).

Plane field can be described as the kernel of a 1-form $\xi = \ker \alpha$.

In 3 dimensions, non-integrability condition: $\alpha \wedge d\alpha \neq 0$.

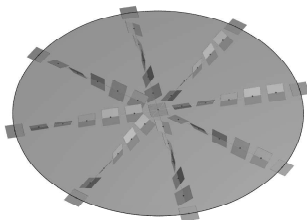




In 3 dimensions non-integrability condition: $\alpha \wedge d\alpha \neq 0$

Eliashberg 1989, *Classification of overtwisted contact structures on 3-manifolds*

An overtwisted disc is: Image by P. Massot



Theorem (Eliashberg)

Overtwisted contact geometry reduces to homotopy theory.

Tight contact structure

Contains no overtwisted disc.

Giroux 1991, *Convexité en topologie de contact*

Generic property for embedded surface in contact 3-manifold.

Convex surface S

There exists a contact vector field X transverse to S .

“Invariant vertical direction”.

$$\Gamma = \{x \in S : X(x) \in \xi\}.$$

“Where ξ is perpendicular”.

Euler class evaluation:

$$e(\xi)[S] = \chi(S_+) - \chi(S_-).$$

Honda 2000, *On the classification of tight contact structures. I*

◀ ◻ ▶ ◀ ◻ ▶ ◀ ≡ ▶ ◀ ≡ ▶ ≡ ≡ ≡ ↺ 🔍 ↻

Honda 2000, *On the classification of tight contact structures. I*

◀ ◻ ▶ ◀ ◻ ▶ ◀ ≡ ▶ ◀ ≡ ▶ ≡ ↺ 🔍 ↻

Honda 2000, *On the classification of tight contact structures. I*

If $S^2 = \partial B^3$, tight contact structure near boundary extends uniquely over ball (Eliashberg).

Honda 2000, *On the classification of tight contact structures. I*

- Fundamental building block in contact topology.

“All topologically trivial contact topology is constructed from bypasses.”

- Half an overtwisted disc.

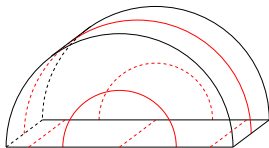


Figure: Bypass with convex boundary.

Honda 2000, *On the classification of tight contact structures. I*

- “All topologically trivial contact topology is constructed from bypasses.”*

- "Every step in contact geometry is half way to oblivion."*

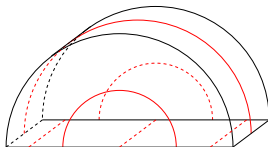
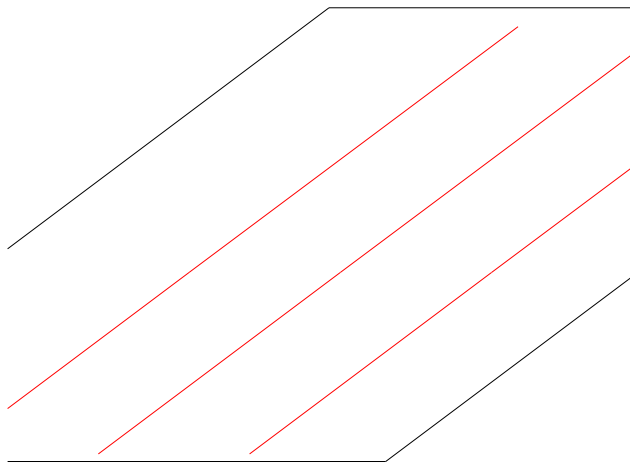
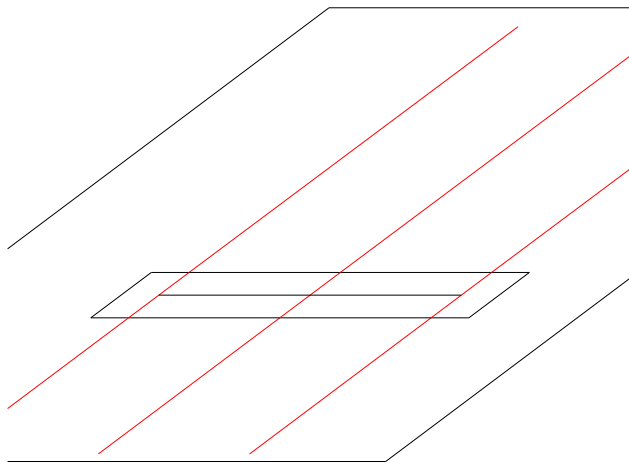


Figure: Bypass with convex boundary.

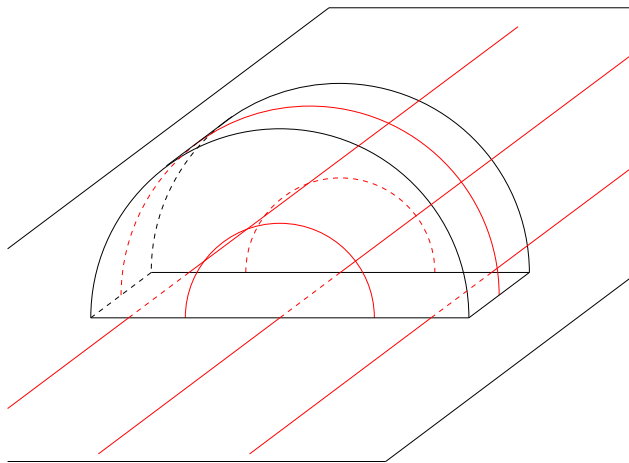
Adding a bypass



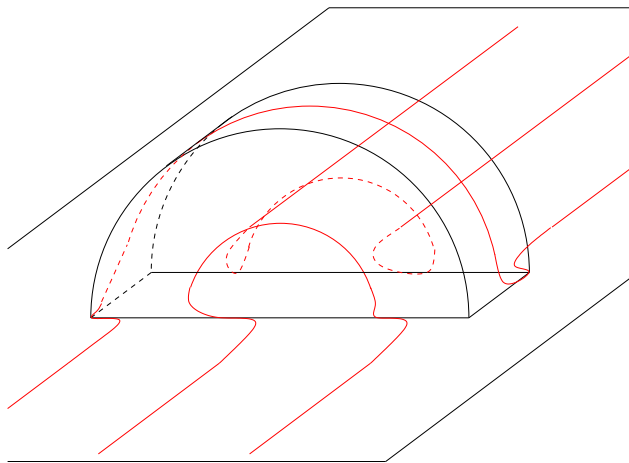
Adding a bypass



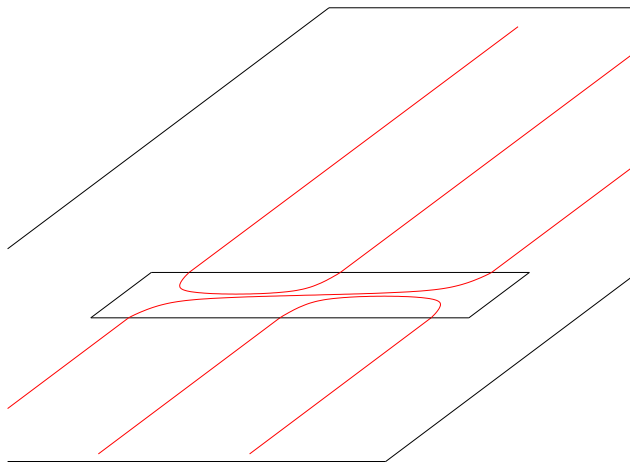
Adding a bypass



Adding a bypass



Adding a bypass



1 Background

- Contact geometry
- Sutured Floer homology, contact elements, TQFT

2 Contact elements in $SFH(T)$

- Solid tori, contact structures, Catalan, Narayana
- Computation, addition of contact elements
- Creation operators, basis of contact elements

3 Main theorems

- Statements
- Properties of contact elements

4 Contact geometry applications

- Stackability
- Contact categories

5 Idea of proof of main theorems

- Comparable pairs and bypass systems

Sutured manifolds & SFH

Juhász 2006, *Holomorphic discs and sutured manifolds*

Sutured manifold (M, Γ)

M 3-manifold with boundary.

Γ collection of disjoint simple closed curves on boundary, dividing ∂M into positive/negative regions.

(Balanced.)

$$(M, \Gamma) \rightsquigarrow SFH(M, \Gamma)$$

- Take sutured Heegaard decomposition, symmetric product of Heegaard surface.
- Chain complex generated by intersection points of α, β tori.
- Differential counts certain holomorphic curves in symmetric product with certain boundary conditions.
- Invariant of (balanced) sutured manifold.

Sutured manifolds & SFH

Juhász 2006, *Holomorphic discs and sutured manifolds*

Sutured manifold (M, Γ)

M 3-manifold with boundary.

Γ collection of disjoint simple closed curves on boundary, dividing ∂M into positive/negative regions.

(Balanced.)

$(M, \Gamma) \rightsquigarrow SFH(M, \Gamma)$

- Take sutured Heegaard decomposition, symmetric product of Heegaard surface.
- Chain complex generated by intersection points of α, β tori.
- Differential counts certain holomorphic curves in symmetric product with certain boundary conditions.
- Invariant of (balanced) sutured manifold.

Contact elements

Closed case Ozsváth–Szabó 2005, Honda–Kazez–Matić 2007; sutured case
Honda–Kazez–Matić 2007, *The contact invariant in sutured Floer homology*

Contact structure on **sutured** manifold

ξ contact structure on (M, Γ) :

- ∂M convex
- Γ dividing set
- Positive/negative regions.

Theorem (Honda–Kazez–Matić)

A contact structure ξ on (M, Γ) gives a well-defined contact element $c(\xi) \in SFH(-M, -\Gamma)$.

We take \mathbb{Z}_2 coefficients. (Otherwise a sign ambiguity.)
 ξ overtwisted $\Rightarrow c(\xi) = 0$

Contact elements

Closed case Ozsváth–Szabó 2005, Honda–Kazez–Matić 2007; sutured case
Honda–Kazez–Matić 2007, *The contact invariant in sutured Floer homology*

Contact structure on sutured manifold

ξ contact structure on (M, Γ) :

- ∂M convex
- Γ dividing set
- Positive/negative regions.

Theorem (Honda–Kazez–Matić)

A contact structure ξ on (M, Γ) gives a well-defined
contact element $c(\xi) \in SFH(-M, -\Gamma)$.

We take \mathbb{Z}_2 coefficients. (Otherwise a sign ambiguity.)
 ξ overtwisted $\Rightarrow c(\xi) = 0$

Contact elements

Closed case Ozsváth–Szabó 2005, Honda–Kazez–Matić 2007; sutured case
Honda–Kazez–Matić 2007, *The contact invariant in sutured Floer homology*

Contact structure on sutured manifold

ξ contact structure on (M, Γ) :

- ∂M convex
- Γ dividing set
- Positive/negative regions.

Theorem (Honda–Kazez–Matić)

A contact structure ξ on (M, Γ) gives a well-defined contact element $c(\xi) \in SFH(-M, -\Gamma)$.

We take \mathbb{Z}_2 coefficients. (Otherwise a sign ambiguity.)

ξ overtwisted $\Rightarrow c(\xi) = 0$

Contact elements

Closed case Ozsváth–Szabó 2005, Honda–Kazez–Matić 2007; sutured case
Honda–Kazez–Matić 2007, *The contact invariant in sutured Floer homology*

Contact structure on sutured manifold

ξ contact structure on (M, Γ) :

- ∂M convex
- Γ dividing set
- Positive/negative regions.

Theorem (Honda–Kazez–Matić)

A contact structure ξ on (M, Γ) gives a well-defined contact element $c(\xi) \in SFH(-M, -\Gamma)$.

We take \mathbb{Z}_2 coefficients. (Otherwise a sign ambiguity.)

ξ **overtwisted** $\Rightarrow c(\xi) = 0$

TQFT property

Honda–Kazez–Matić 2008, *Contact structures, sutured Floer homology and TQFT*

Theorem

Given

- $(M', \Gamma') \hookrightarrow (M, \Gamma)$ *inclusion of sutured manifolds*.
- ξ'' *contact structure on $(M - M', \Gamma \cup \Gamma')$*

there is a natural map

$$SFH(M', \Gamma') \longrightarrow SFH(M, \Gamma).$$

Further

$$c(\xi') \mapsto c(\xi' \cup \xi'').$$

“TQFT-inclusion”.

(Actually $\longrightarrow SFH(M, \Gamma) \otimes V^m$ where m is number of “isolated” components).

TQFT property

Honda–Kazez–Matić 2008, *Contact structures, sutured Floer homology and TQFT*

Theorem

Given

- $(M', \Gamma') \hookrightarrow (M, \Gamma)$ *inclusion of sutured manifolds.*
- ξ'' *contact structure on $(M - M', \Gamma \cup \Gamma')$*

there is a natural map

$$SFH(M', \Gamma') \longrightarrow SFH(M, \Gamma).$$

Further

$$c(\xi') \mapsto c(\xi' \cup \xi'').$$

“TQFT-inclusion”.

(Actually $\longrightarrow SFH(M, \Gamma) \otimes V^m$ where m is number of “isolated” components).

The question

Motivating question:

How do contact elements lie in SFH?

Solid tori

Only sutured 3-manifolds we consider are *solid tori*.

Sutured manifold (T, n)

- Solid torus $D^2 \times S^1$
- **Longitudinal sutures** $F \times S^1$, $F \subset \partial D^2$ finite, $|F| = 2n$.

(Notational cover-up: $(T, n) = (-(D^2 \times S^1), -(F \times S^1)).$)

- Part of the $(1 + 1)$ -dimensional TQFT discussed in HKM 2008.

To classify contact structures:

- consider dividing sets on convex meridian disc and boundary torus

Solid tori

Only sutured 3-manifolds we consider are *solid tori*.

Sutured manifold (T, n)

- Solid torus $D^2 \times S^1$
- **Longitudinal sutures** $F \times S^1$, $F \subset \partial D^2$ finite, $|F| = 2n$.

(Notational cover-up: $(T, n) = (-(D^2 \times S^1), -(F \times S^1)).$)

- Part of the $(1 + 1)$ -dimensional TQFT discussed in HKM 2008.

To classify contact structures:

- consider dividing sets on convex meridian disc and boundary torus

Solid tori

Only sutured 3-manifolds we consider are *solid tori*.

Sutured manifold (T, n)

- Solid torus $D^2 \times S^1$
- **Longitudinal sutures** $F \times S^1$, $F \subset \partial D^2$ finite, $|F| = 2n$.

(Notational cover-up: $(T, n) = (-(D^2 \times S^1), -(F \times S^1)).$)

- Part of the $(1 + 1)$ -dimensional TQFT discussed in HKM 2008.

To classify contact structures:

- consider dividing sets on convex meridian disc and boundary torus

Contact structures on (T, n) and chord diagrams

Dividing set Γ on meridional disc (convex, leg. b'dy)

- **Interleaves** with sutures $F \times S^1$ on boundary; $2n$ endpoints.
- For tight contact structure, Γ has **no closed components**.

Chord diagram

Collection of disjoint properly embedded arcs on disc.
Up to homotopy rel endpoints.

E.g.

Contact structures on (T, n) and chord diagrams

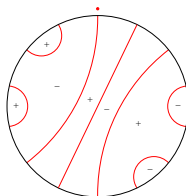
Dividing set Γ on meridional disc (convex, leg. b'dy)

- Interleaves with sutures $F \times S^1$ on boundary; $2n$ endpoints.
- For tight contact structure, Γ has no closed components.

Chord diagram

Collection of disjoint properly embedded arcs on disc.
Up to homotopy rel endpoints.

E.g.



Chord diagram has **relative euler class** e :

So $|e| \leq n - 1$, and e opposite parity to n .

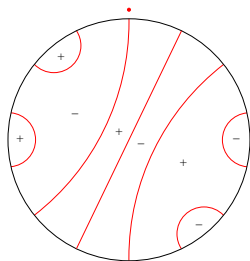


Figure: $n = 7, e = 0$

Contact structures on (T, n) are chord diagrams

Honda 2000, *On the classification of tight contact structures. II*;

Honda 2002, *Gluing tight contact structures*;

Giroux 2001, *Structures de contact sur les variétés fibrées en cercles...*

A chord diagram determines a contact structure on $D^2 \times S^1$:

- Place it on top and bottom of cylinder, unique tight contact structure on ball, glue ends together.

For solid tori in general:

- A chord diagram may give an overtwisted contact structure on $D^2 \times S^1$
- Distinct chord diagrams may give isotopic contact structures.

However with *longitudinal sutures* of (T, n) , neither occurs.

Theorem (Honda, Giroux)

$$\left\{ \begin{array}{c} \text{Tight contact structures} \\ \text{on } (T, n) \end{array} \right\} \leftrightarrow \left\{ \begin{array}{c} \text{Chord diagrams} \\ \text{with } n \text{ chords} \end{array} \right\}$$

Contact structures on (T, n) are chord diagrams

Honda 2000, *On the classification of tight contact structures. II*;

Honda 2002, *Gluing tight contact structures*;

Giroux 2001, *Structures de contact sur les variétés fibrées en cercles...*

A chord diagram determines a contact structure on $D^2 \times S^1$:

- Place it on top and bottom of cylinder, unique tight contact structure on ball, glue ends together.

For solid tori in general:

- A chord diagram may give an overtwisted contact structure on $D^2 \times S^1$
- Distinct chord diagrams may give isotopic contact structures.

However with *longitudinal sutures* of (T, n) , neither occurs.

Theorem (Honda, Giroux)

$$\left\{ \begin{array}{c} \text{Tight contact structures} \\ \text{on } (T, n) \end{array} \right\} \leftrightarrow \left\{ \begin{array}{c} \text{Chord diagrams} \\ \text{with } n \text{ chords} \end{array} \right\}$$

Contact structures on (T, n) are chord diagrams

Honda 2000, *On the classification of tight contact structures. II*;

Honda 2002, *Gluing tight contact structures*;

Giroux 2001, *Structures de contact sur les variétés fibrées en cercles...*

A chord diagram determines a contact structure on $D^2 \times S^1$:

- Place it on top and bottom of cylinder, unique tight contact structure on ball, glue ends together.

For solid tori in general:

- A chord diagram may give an overtwisted contact structure on $D^2 \times S^1$
- Distinct chord diagrams may give isotopic contact structures.

However with *longitudinal sutures* of (T, n) , neither occurs.

Theorem (Honda, Giroux)

$$\left\{ \begin{array}{c} \text{Tight contact structures} \\ \text{on } (T, n) \end{array} \right\} \leftrightarrow \left\{ \begin{array}{c} \text{Chord diagrams} \\ \text{with } n \text{ chords} \end{array} \right\}$$

Catalan and Naryana numbers

$$\# \left\{ \begin{array}{c} \text{Tight contact} \\ \text{structures on } (T, n) \end{array} \right\} = \# \left\{ \begin{array}{c} \text{Chord diagrams} \\ n \text{ chords} \end{array} \right\}$$

Catalan numbers $C_n = 1, 1, 2, 5, 14, 42, 132, 429, \dots$

$$\# \left\{ \begin{array}{c} \text{Tight contact} \\ \text{structures on } (T, n) \\ \text{euler class } e \end{array} \right\} = \# \left\{ \begin{array}{c} \text{Chord diagrams} \\ n \text{ chords} \\ \text{euler class } e \end{array} \right\}$$

Narayana numbers C_n^e :



Catalan and Naryana numbers

$$\# \left\{ \begin{array}{c} \text{Tight contact} \\ \text{structures on } (T, n) \end{array} \right\} = \# \left\{ \begin{array}{c} \text{Chord diagrams} \\ n \text{ chords} \end{array} \right\}$$

Catalan numbers $C_n = 1, 1, 2, 5, 14, 42, 132, 429, \dots$

$$\# \left\{ \begin{array}{c} \text{Tight contact} \\ \text{structures on } (T, n) \\ \text{euler class } e \end{array} \right\} = \# \left\{ \begin{array}{c} \text{Chord diagrams} \\ n \text{ chords} \\ \text{euler class } e \end{array} \right\}$$

Narayana numbers C_n^e :



The Catalan disease and Narayana symptoms

Catalan:

- tight ct. str's on (T, n)
- chord diagrams, n chords
- pairings of n brackets
- Dyck paths length $2n$
- rooted planar bin. trees
- Recursion

$$C_{n+1} = \sum_{n_1+n_2=n} C_{n_1} C_{n_2}.$$

Narayana:

- # with euler class e
- # with euler class e
- # with k occurrences of “()”
- # with k peaks
- # with k “left” leaves
- Recursion:

$$C_{n+1}^e = \sum_{\substack{n_1+n_2=n \\ e_1+e_2=e}} C_{n_1}^{e_1} C_{n_2}^{e_2}.$$

The Catalan disease

and Narayana symptoms

Catalan:

- tight ct. str's on (T, n)
- chord diagrams, n chords
- pairings of n brackets
- Dyck paths length $2n$
- rooted planar bin. trees
- Recursion

$$C_{n+1} = \sum_{n_1+n_2=n} C_{n_1} C_{n_2}.$$

Narayana:

- # with euler class e
- # with euler class e
- # with k occurrences of “()”
- # with k peaks
- # with k “left” leaves
- Recursion:

$$C_{n+1}^e = \sum_{\substack{n_1+n_2=n \\ e_1+e_2=e}} C_{n_1}^{e_1} C_{n_2}^{e_2}.$$

Outline

- 1 Background
 - Contact geometry
 - Sutured Floer homology, contact elements, TQFT
- 2 Contact elements in $SFH(T)$
 - Solid tori, contact structures, Catalan, Narayana
 - Computation, addition of contact elements
 - Creation operators, basis of contact elements
- 3 Main theorems
 - Statements
 - Properties of contact elements
- 4 Contact geometry applications
 - Stackability
 - Contact categories
- 5 Idea of proof of main theorems
 - Comparable pairs and bypass systems

Computation of $SFH(T, n)$

Juhász 2008, “Floer homology and surface decompositions”

Honda–Kazez–Matić 2008, *Contact structures, sutured Floer homology and TQFT*

Theorem

$$SFH(T, n+1) = \mathbb{Z}_2^{2^n}.$$

Split over spin-c structures:

$$SFH(T, n+1) = \bigoplus_k \mathbb{Z}_2^{\binom{n}{k}}.$$

For ξ with euler class e ,

$$c(\xi) \in \mathbb{Z}_2^{\binom{n}{k}} \quad \text{where} \quad k = \frac{e+n}{2}$$

so let

$$SFH(T, n+1, e) = \mathbb{Z}_2^{\binom{n}{k}}.$$

Computation of $SFH(T, n)$

Juhász 2008, “Floer homology and surface decompositions”

Honda–Kazez–Matić 2008, *Contact structures, sutured Floer homology and TQFT*

Theorem

$$SFH(T, n+1) = \mathbb{Z}_2^{2^n}.$$

Split over spin-c structures:

$$SFH(T, n+1) = \bigoplus_k \mathbb{Z}_2^{\binom{n}{k}}.$$

For ξ with euler class e ,

$$c(\xi) \in \mathbb{Z}_2^{\binom{n}{k}} \quad \text{where} \quad k = \frac{e+n}{2}$$

so let

$$SFH(T, n+1, e) = \mathbb{Z}_2^{\binom{n}{k}}.$$

“Categorified Pascal triangle”

$$\begin{array}{cccccccc}
 SFH(T, 1) & = & & & & & & \\
 SFH(T, 2) & = & & & & & & \\
 SFH(T, 3) & = & & & & & & \\
 SFH(T, 4) & = & SFH(T, 4, -3) & & SFH(T, 2, -1) & & SFH(T, 1, 0) & \\
 \vdots & & \vdots & & \vdots & & \vdots & \\
 & & SFH(T, 3, -2) & & SFH(T, 2, -1) & & SFH(T, 1, 0) & \\
 & & \oplus & & \oplus & & \oplus & \\
 & & \vdots & & \vdots & & \vdots & \\
 & & SFH(T, 4, -1) & & SFH(T, 3, 0) & & SFH(T, 2, 1) & \\
 & & \oplus & & \oplus & & \oplus & \\
 & & \vdots & & \vdots & & \vdots & \\
 & & SFH(T, 4, 1) & & SFH(T, 3, 2) & & SFH(T, 2, 3) & \\
 & & \oplus & & \oplus & & \oplus & \\
 & & \vdots & & \vdots & & \vdots & \\
 & & SFH(T, 4, 3) & & & & & \\
 & & \vdots & & & & &
 \end{array}$$

$$\begin{array}{cccccccc}
 SFH(T, 1) & = & & & & & & \mathbb{Z}_2^{(0)} \\
 SFH(T, 2) & = & & & & & & \oplus \mathbb{Z}_2^{(1)} \\
 SFH(T, 3) & = & & & & & & \oplus \mathbb{Z}_2^{(2)} \\
 SFH(T, 4) & = & \mathbb{Z}_2^{(3)} & \oplus & \mathbb{Z}_2^{(3)} & \oplus & \mathbb{Z}_2^{(3)} & \oplus \mathbb{Z}_2^{(3)}
 \end{array}$$

◀ ◻ ▶ ◀ ◻ ▶ ◀ ≡ ▶ ◀ ≡ ▶ ≡

◀ ◻ ▶ ◀ ◻ ▶ ◀ ≡ ▶ ◀ ≡ ▶ ≡

Addition and the bypass relation

Are the contact elements a subgroup?

- No.
- Closure under addition described by bypasses.

Bypass-related chord diagrams naturally come in triples.

Proposition

Suppose $a, b \in SFH(T, n, e)$ are contact elements.

Then $a + b$ is a contact element if and only if a, b are related by a bypass surgery.

In this case, $a + b$ is the third chord diagram in the triple.

Addition and the bypass relation

Are the contact elements a subgroup?

- No.
- Closure under addition described by bypasses.

Bypass-related chord diagrams naturally come in triples.

Proposition

Suppose $a, b \in SFH(T, n, e)$ are contact elements.

Then $a + b$ is a contact element if and only if a, b are related by a bypass surgery.

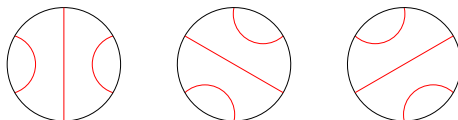
In this case, $a + b$ is the third chord diagram in the triple.

Addition and the bypass relation

Are the contact elements a subgroup?

- No.
- Closure under addition described by **bypasses**.

Bypass-related chord diagrams naturally come in triples.



Proposition

Suppose $a, b \in SFH(T, n, e)$ are contact elements.

Then $a + b$ is a contact element if and only if a, b are related by a bypass surgery.

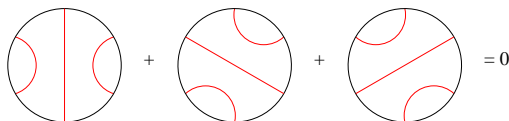
In this case, $a + b$ is the third chord diagram in the triple.

Addition and the bypass relation

Are the contact elements a subgroup?

- No.
- Closure under addition described by bypasses.

Bypass-related chord diagrams naturally come in triples.



Proposition

Suppose $a, b \in SFH(T, n, e)$ are contact elements.

Then $a + b$ is a contact element if and only if a, b are related by a bypass surgery.

In this case, $a + b$ is the third chord diagram in the triple.

Reduction to kindergarten

In fact one can show:

Proposition (SFH is combinatorial)

$$SFH(T, n, e) = \frac{\mathbb{Z}_2 \langle \text{Chord diag's, } n \text{ chords, euler class } e \rangle}{\text{Bypass relation}}$$

Outline

- 1 Background
 - Contact geometry
 - Sutured Floer homology, contact elements, TQFT
- 2 Contact elements in $SFH(T)$
 - Solid tori, contact structures, Catalan, Narayana
 - Computation, addition of contact elements
 - Creation operators, basis of contact elements
- 3 Main theorems
 - Statements
 - Properties of contact elements
- 4 Contact geometry applications
 - Stackability
 - Contact categories
- 5 Idea of proof of main theorems
 - Comparable pairs and bypass systems

Creation operators

A well-defined way to create chords, enclosing positive/negative regions at the basepoint.

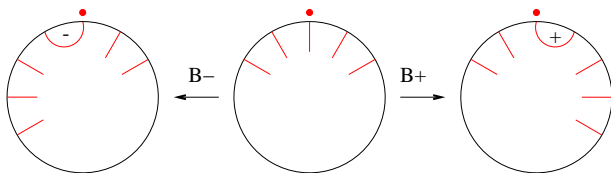


Figure: Creation operators.

We obtain maps

$$B_{\pm} : SFH(T, n, e) \longrightarrow SFH(T, n+1, e \pm 1).$$

Origin of creation operators

B_{\pm} arise from TQFT-inclusion

$$(T, n) \hookrightarrow (T, n+1)$$

with intermediate contact structure

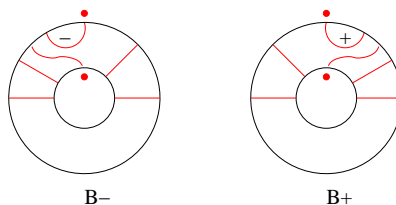
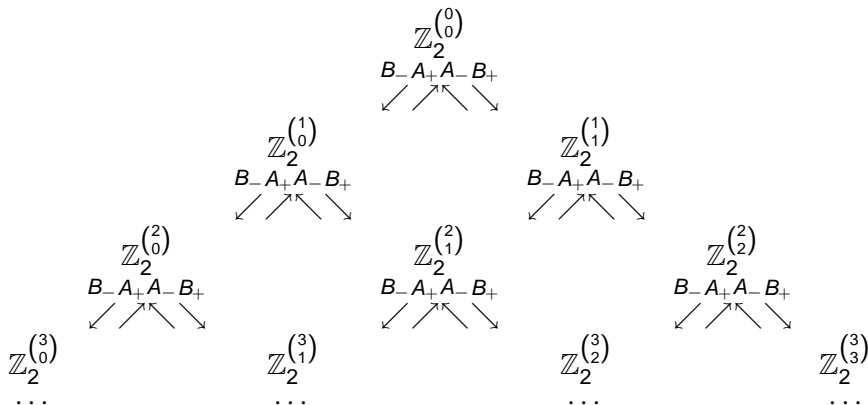


Figure: Creation operator inclusion.

Morphisms in Pascal's triangle

"Full categorification"



◀ ◻ ▶ ◀ ◻ ▶ ◀ ≡ ▶ ◀ ≡ ▶ ≡ ↺ 🔍 ↻

Operator relations, Pascal recursion

Proposition (Creation/annihilation relations)

$$A_+ \circ B_- = A_- \circ B_+ = 1$$

$$A_+ \circ B_+ = A_- \circ B_- = 0$$

Proposition (Categorification of Pascal recursion)

$$SFH(T, n+1, e) = B_+ SFH(T, n, e-1) \oplus B_- SFH(T, n, e+1)$$

I.e.:

$$\mathbb{Z}_2^{\binom{n+1}{k}} = B_+ \mathbb{Z}_2^{\binom{n}{k-1}} \oplus B_- \mathbb{Z}_2^{\binom{n}{k}}$$

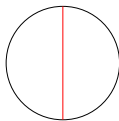
$$SFH(T, n+1, e) = \text{"}n\text{-particle states of charge } e\text{"}$$


Figure: “The vacuum” $v_\emptyset \in SFH(T, 1, 0) = \mathbb{Z}_2$.

QFT analogy

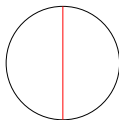
$$SFH(T, n+1, e) = \text{"}n\text{-particle states of charge } e\text{"}$$


Figure: “The vacuum” $v_\emptyset \in SFH(T, 1, 0) = \mathbb{Z}_2$.

“Basis: apply creation operators to the vacuum”

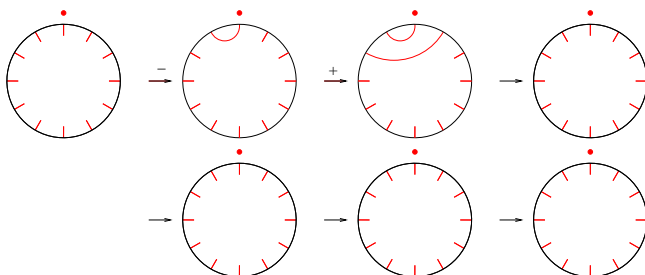
$$W(n_-, n_+) = \{\text{Words on } \{-, +\}, n_- - \text{signs}, n_+ + \text{signs}\}$$

For $w \in W(n_-, n_+)$, form $v_w \in SFH(T, n+1, e)$.

“QFT basis”

E.g.

$$v_{-+--+} = B_- B_+ B_- B_+ B_+ v_\emptyset \in SFH(T, 6, 1).$$



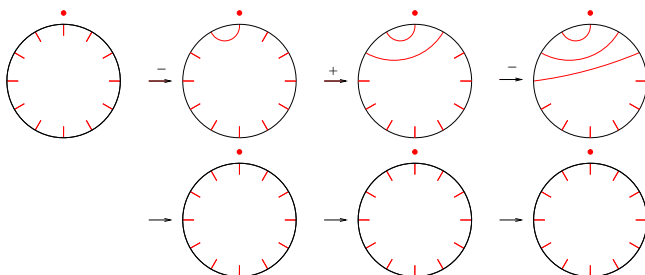
Proposition

The v_w , $w \in W(n_-, n_+)$, form a basis for $SFH(T, n+1, e)$.

“QFT basis”

E.g.

$$v_{-+--+} = B_- B_+ B_- B_+ B_+ v_\emptyset \in SFH(T, 6, 1).$$

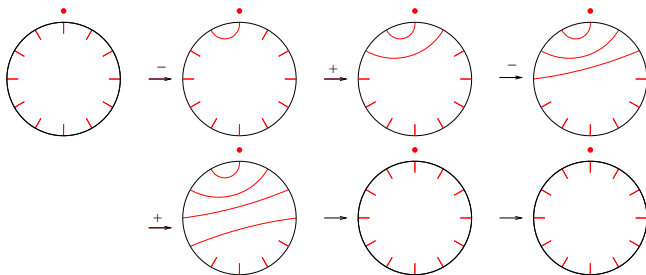


Proposition

The v_w , $w \in W(n_-, n_+)$, form a basis for $SFH(T, n+1, e)$.

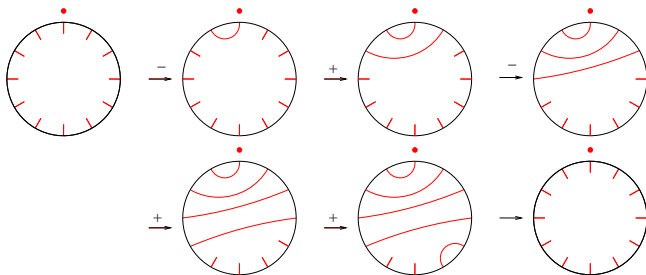
E.g.

$$v_{-+-++} = B_-B_+B_-B_+B_+v_\emptyset \in SFH(T, 6, 1).$$



E.g.

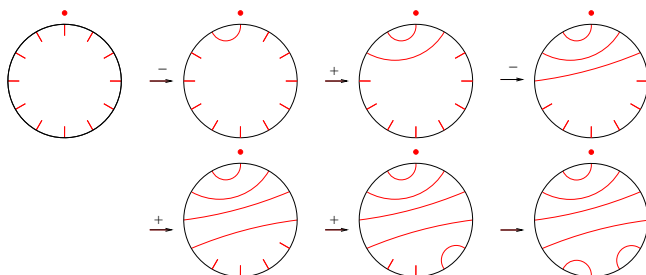
$$v_{-+-++} = B_- B_+ B_- B_+ B_+ v_\emptyset \in SFH(T, 6, 1).$$



“QFT basis”

E.g.

$$v_{-+--+} = B_- B_+ B_- B_+ B_+ v_\emptyset \in SFH(T, 6, 1).$$

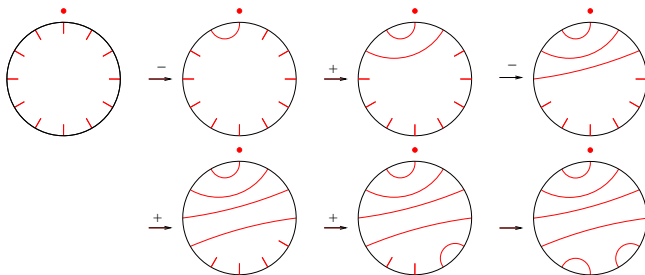


Proposition

The v_w , $w \in W(n_-, n_+)$, form a basis for $SFH(T, n+1, e)$.

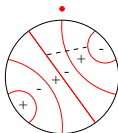
E.g.

$$v_{-+-++} = B_-B_+B_-B_+B_+v_\emptyset \in SFH(T, 6, 1).$$

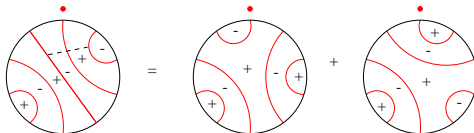


The v_w , $w \in W(n_-, n_+)$, form a basis for $SFH(T, n+1, e)$.

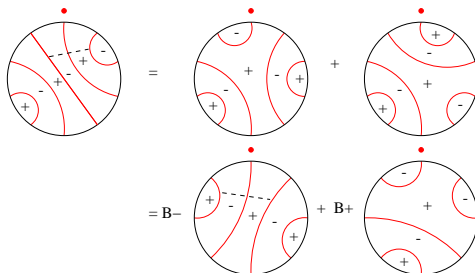
Basis decomposition



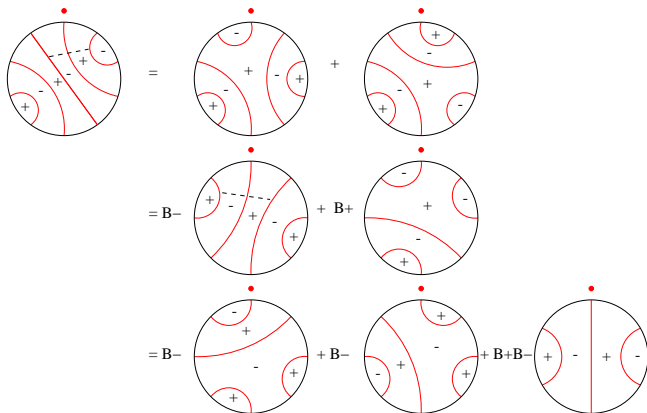
Basis decomposition



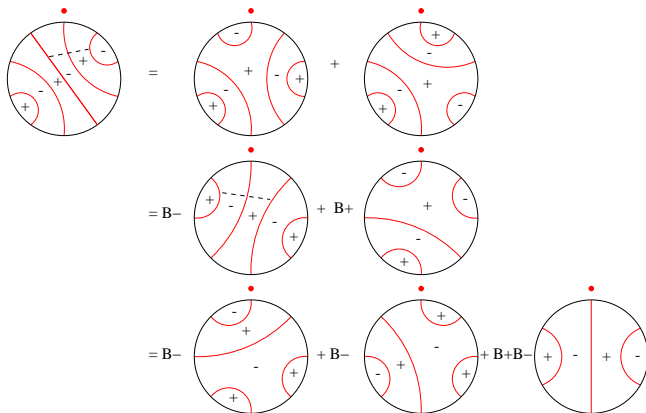
Basis decomposition



Basis decomposition



Basis decomposition



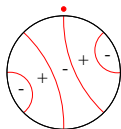
$$\begin{aligned}
 &= B_- B_- B_+ B_+ v_\emptyset + B_- B_+ B_+ B_- v_\emptyset + B_+ B_- (B_- B_+ v_\emptyset + B_+ B_- v_\emptyset) \\
 &= v_{--++} + v_{-++-} + v_{+--+} + v_{+-+-}
 \end{aligned}$$

Examples of basis decomposition



—	+
+	—

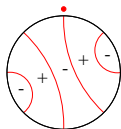
—	+
+	—



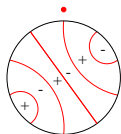
—	—	+
+	—	—



—	+
+	—

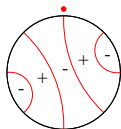


—	—	+
+	—	—

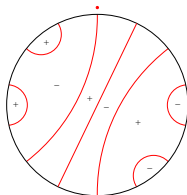


—	—	+	+
—	+	+	—
+	—	—	+
+	—	+	—

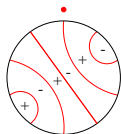
—	+
+	—



-	-	+
+	-	-



—	+	—	+	—	+
—	+	—	+	+	—
—	+	+	—	—	+
—	+	+	—	+	—
+	—	—	+	—	+
+	—	—	+	+	—
+	—	+	—	—	+
+	—	+	+	—	—
+	+	—	—	+	—
+	+	—	+	—	—



—	—	+	+
—	+	+	—
+	—	—	+
+	—	+	—

Outline

- 1 Background
 - Contact geometry
 - Sutured Floer homology, contact elements, TQFT
- 2 Contact elements in $SFH(T)$
 - Solid tori, contact structures, Catalan, Narayana
 - Computation, addition of contact elements
 - Creation operators, basis of contact elements
- 3 Main theorems
 - Statements
 - Properties of contact elements
- 4 Contact geometry applications
 - Stackability
 - Contact categories
- 5 Idea of proof of main theorems
 - Comparable pairs and bypass systems

Orderings on $W(n_-, n_+)$

- **Lexicographic ordering:** Total order.
- Partial order \preceq : “All minus signs move right (or stay where they are).”

E.g.

$$--++ \preceq +-+-$$

but

$$-++-, \quad +-- + \text{ not comparable.}$$

Theorem

Write a contact element v as a sum of basis vectors

$$v = \sum_w v_w, \quad w \in W(n_-, n_+).$$

*Let w_-, w_+ be (lex.) first and last words occurring.
Then for all words w in decomposition, $w_- \preceq w \preceq w_+$.*

Orderings on $W(n_-, n_+)$

- Lexicographic ordering: Total order.
- **Partial order** \preceq : “All minus signs move right (or stay where they are).”

E.g.

$$--++ \preceq +-+-$$

but

$$-++-, \quad +--+\text{ not comparable.}$$

Theorem

Write a contact element v as a sum of basis vectors

$$v = \sum_w v_w, \quad w \in W(n_-, n_+).$$

Let w_-, w_+ be (lex.) first and last words occurring.

Then for all words w in decomposition, $w_- \preceq w \preceq w_+$.

Orderings on $W(n_-, n_+)$

- Lexicographic ordering: Total order.
- Partial order \preceq : “All minus signs move right (or stay where they are).”

E.g.

$$- - ++ \preceq + - +-$$

but

$$- + + -, \quad + - - + \text{ not comparable.}$$

Theorem

Write a contact element v as a sum of basis vectors

$$v = \sum_w v_w, \quad w \in W(n_-, n_+).$$

Let w_-, w_+ be (lex.) first and last words occurring.

Then for all words w in decomposition, $w_- \preceq w \preceq w_+$.

Chord diagram = comparable pair

Now have

$\Phi : \{\text{Contact elements}\} \longrightarrow \{\text{Comparable pairs of words}\}$

$$v = \sum_w v_w \mapsto (w_-, w_+)$$

Proposition

*These sets have the same cardinality.
I.e. $\#$ comparable pairs of words $= C_n^e$.*

Theorem

Φ is a bijection.

I.e. for any $w_- \preceq w_+ \exists!$ contact element with v_{w_-} first, v_{w_+} last.

Chord diagram = comparable pair

Now have

$$\Phi : \{\text{Contact elements}\} \longrightarrow \{\text{Comparable pairs of words}\}$$

$$v = \sum_w v_w \mapsto (w_-, w_+)$$

Proposition

*These sets have the **same cardinality**.
I.e. $\#$ comparable pairs of words = C_n^e .*

Theorem

Φ is a bijection.

I.e. for any $w_- \preceq w_+ \exists!$ contact element with v_{w_-} first, v_{w_+} last.

Chord diagram = comparable pair

Now have

$\Phi : \{\text{Contact elements}\} \longrightarrow \{\text{Comparable pairs of words}\}$

$$v = \sum_w v_w \mapsto (w_-, w_+)$$

Proposition

*These sets have the same cardinality.
I.e. $\#$ comparable pairs of words = C_n^e .*

Theorem

Φ is a **bijection**.

I.e. for any $w_- \preceq w_+ \exists!$ contact element with v_{w_-} first, v_{w_+} last.

Outline

- 1 Background
 - Contact geometry
 - Sutured Floer homology, contact elements, TQFT
- 2 Contact elements in $SFH(T)$
 - Solid tori, contact structures, Catalan, Narayana
 - Computation, addition of contact elements
 - Creation operators, basis of contact elements
- 3 Main theorems
 - Statements
 - Properties of contact elements
- 4 Contact geometry applications
 - Stackability
 - Contact categories
- 5 Idea of proof of main theorems
 - Comparable pairs and bypass systems

Properties of contact elements

Notation $v = [w_-, w_+]$.

Proposition

The **number of terms** in the basis decomposition of a contact element v is

$$\begin{cases} 1 & \text{if } v \text{ is a basis element.} \\ \text{even} & \text{otherwise.} \end{cases}$$

Theorem (Not much comparability)

Suppose v_w occurs in the basis decomposition of the contact element $v = [w_-, w_+]$.

Suppose w is comparable with every other element in the decomposition.

Then $w = w_-$ or w_+ .

Properties of contact elements

Notation $v = [w_-, w_+]$.

Proposition

The number of terms in the basis decomposition of a contact element v is

$$\begin{cases} 1 & \text{if } v \text{ is a basis element.} \\ \text{even} & \text{otherwise.} \end{cases}$$

Theorem (Not much comparability)

Suppose v_w **occurs** in the basis decomposition of the contact element $v = [w_-, w_+]$.

Suppose w is comparable with every other element in the decomposition.

Then $w = w_-$ or w_+ .

Properties of contact elements

Notation $v = [w_-, w_+]$.

Proposition

The number of terms in the basis decomposition of a contact element v is

$$\begin{cases} 1 & \text{if } v \text{ is a basis element.} \\ \text{even} & \text{otherwise.} \end{cases}$$

Theorem (Not much comparability)

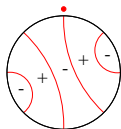
Suppose v_w occurs in the basis decomposition of the contact element $v = [w_-, w_+]$.

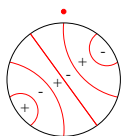
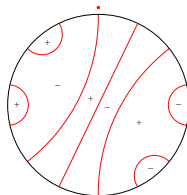
Suppose w is comparable with every other element in the decomposition.

Then $w = w_-$ or w_+ .

Examples of basis decomposition



$$\begin{array}{cc} - & + \\ + & - \end{array}$$


$$\begin{array}{ccc} - & - & + \\ + & - & - \end{array}$$


$$\begin{array}{cccc} - & - & + & + \\ - & + & + & - \\ + & - & - & + \\ + & - & + & - \end{array}$$

-	+	-	+	-	+
-	+	-	+	+	-
-	+	+	-	-	+
-	+	+	-	+	-
+	-	-	+	+	+
+	-	-	+	+	-
+	-	+	-	-	+
+	-	+	+	-	-
+	+	-	-	+	-
+	+	-	+	-	-

Summary of results

- Distinct chord diagrams/contact structures give distinct contact elements.
- Contact elements not a subgroup, but “addition means bypasses”.
- Can give a basis for each $SFH(T, n, e)$ consisting of chord diagrams / contact elements.
- There is a partial order \preceq on each basis.
- Chord diagrams / contact structures correspond precisely to comparable pairs of basis elements.

Outline

- 1 Background
 - Contact geometry
 - Sutured Floer homology, contact elements, TQFT
- 2 Contact elements in $SFH(T)$
 - Solid tori, contact structures, Catalan, Narayana
 - Computation, addition of contact elements
 - Creation operators, basis of contact elements
- 3 Main theorems
 - Statements
 - Properties of contact elements
- 4 Contact geometry applications
 - **Stackability**
 - Contact categories
- 5 Idea of proof of main theorems
 - Comparable pairs and bypass systems

Stacking construction

Given Γ_0, Γ_1 chord diagrams, consider $\mathcal{M}(\Gamma_0, \Gamma_1)$:

- sutured solid cylinder $D \times I$
- Γ_i sutures along $D \times \{i\}$
- Vertical interleaving sutures along $\partial D \times I$.

Figure: $\mathcal{M}(\Gamma_0, \Gamma_1)$.

$\mathcal{M}(\Gamma_0, \Gamma_1)$ is tight if it admits a tight contact structure.
I.e. after rounding corners, sutures form single component.

Stacking construction

Given Γ_0, Γ_1 chord diagrams, consider $\mathcal{M}(\Gamma_0, \Gamma_1)$:

- sutured solid cylinder $D \times I$
- Γ_i sutures along $D \times \{i\}$
- Vertical interleaving sutures along $\partial D \times I$.

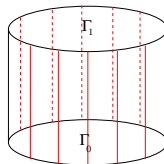


Figure: $\mathcal{M}(\Gamma_0, \Gamma_1)$.

$\mathcal{M}(\Gamma_0, \Gamma_1)$ is tight if it admits a tight contact structure.
I.e. after rounding corners, sutures form single component.

Stacking construction

Given Γ_0, Γ_1 chord diagrams, consider $\mathcal{M}(\Gamma_0, \Gamma_1)$:

- sutured solid cylinder $D \times I$
- Γ_i sutures along $D \times \{i\}$
- Vertical interleaving sutures along $\partial D \times I$.

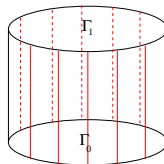


Figure: $\mathcal{M}(\Gamma_0, \Gamma_1)$.

$\mathcal{M}(\Gamma_0, \Gamma_1)$ is **tight** if it admits a tight contact structure.

I.e. after rounding corners, sutures form **single component**.

Properties of stackability

Proposition (Stackability map)

There is a linear map

$$m : SFH(T, n) \otimes SFH(T, n) \longrightarrow \mathbb{Z}_2$$

taking (Γ_0, Γ_1) to 1 if $\mathcal{M}(\Gamma_0, \Gamma_1)$ is tight, and 0 if overtwisted.

Proposition (Euler class orthogonality)

If Γ_0, Γ_1 have distinct Euler class then $m(\Gamma_0, \Gamma_1) = 0$.

So only interesting part of m on each summand

$$m : SFH(T, n, e) \otimes SFH(T, n, e) \longrightarrow \mathbb{Z}_2.$$

Proposition (Positive definiteness)

$$m(\Gamma, \Gamma) = 1.$$

Properties of stackability

Proposition (Stackability map)

There is a linear map

$$m : SFH(T, n) \otimes SFH(T, n) \longrightarrow \mathbb{Z}_2$$

taking (Γ_0, Γ_1) to 1 if $\mathcal{M}(\Gamma_0, \Gamma_1)$ is tight, and 0 if overtwisted.

Proposition (Euler class orthogonality)

*If Γ_0, Γ_1 have **distinct Euler class** then $m(\Gamma_0, \Gamma_1) = 0$.*

So only interesting part of m on each summand

$$m : SFH(T, n, e) \otimes SFH(T, n, e) \longrightarrow \mathbb{Z}_2.$$

Proposition (Positive definiteness)

$$m(\Gamma, \Gamma) = 1.$$

Properties of stackability

Proposition (Stackability map)

There is a linear map

$$m : SFH(T, n) \otimes SFH(T, n) \longrightarrow \mathbb{Z}_2$$

taking (Γ_0, Γ_1) to 1 if $\mathcal{M}(\Gamma_0, \Gamma_1)$ is tight, and 0 if overtwisted.

Proposition (Euler class orthogonality)

If Γ_0, Γ_1 have distinct Euler class then $m(\Gamma_0, \Gamma_1) = 0$.

So only interesting part of m on each summand

$$m : SFH(T, n, e) \otimes SFH(T, n, e) \longrightarrow \mathbb{Z}_2.$$

Proposition (Positive definiteness)

$$m(\Gamma, \Gamma) = 1.$$

Other stackability properties

Like a metric? m is neither symmetric nor antisymmetric...

Lemma (Independence of irrelevant chords)

Suppose Γ_0, Γ_1 have an outermost chord γ in the same position. Then

$$m(\Gamma_0, \Gamma_1) = m(\Gamma_0 - \gamma, \Gamma_1 - \gamma).$$

Proof by “finger down the cylinder”.

Lemma (Bypass stacking)

*Suppose Γ_0, Γ_1 are related by a bypass move.
(In correct order, $\Gamma_1 = \text{Up}_c(\Gamma_0)$.)
Then $m(\Gamma_0, \Gamma_1) = 1$.*

Other stackability properties

Like a metric? m is neither symmetric nor antisymmetric...

Lemma (Independence of irrelevant chords)

Suppose Γ_0, Γ_1 have an *outermost chord* γ in the *same position*. Then

$$m(\Gamma_0, \Gamma_1) = m(\Gamma_0 - \gamma, \Gamma_1 - \gamma).$$

Proof by “finger down the cylinder”.

Lemma (Bypass stacking)

Suppose Γ_0, Γ_1 are related by a bypass move.
(In correct order, $\Gamma_1 = \text{Up}_c(\Gamma_0)$.)
Then $m(\Gamma_0, \Gamma_1) = 1$.

Other stackability properties

Like a metric? m is neither symmetric nor antisymmetric...

Lemma (Independence of irrelevant chords)

Suppose Γ_0, Γ_1 have an outermost chord γ in the same position. Then

$$m(\Gamma_0, \Gamma_1) = m(\Gamma_0 - \gamma, \Gamma_1 - \gamma).$$

Proof by “finger down the cylinder”.

Lemma (Bypass stacking)

*Suppose Γ_0, Γ_1 are related by a bypass move.
(In correct order, $\Gamma_1 = \text{Up}_c(\Gamma_0)$.)
Then $m(\Gamma_0, \Gamma_1) = 1$.*

Other stackability properties

Like a metric? m is neither symmetric nor antisymmetric...

Lemma (Independence of irrelevant chords)

Suppose Γ_0, Γ_1 have an outermost chord γ in the same position. Then

$$m(\Gamma_0, \Gamma_1) = m(\Gamma_0 - \gamma, \Gamma_1 - \gamma).$$

Proof by “finger down the cylinder”.

Lemma (Bypass stacking)

*Suppose Γ_0, Γ_1 are **related by a bypass move**.*

(In correct order, $\Gamma_1 = Up_c(\Gamma_0)$.)

Then $m(\Gamma_0, \Gamma_1) = 1$.

Other stackability properties

Like a metric? m is neither symmetric nor antisymmetric...

Lemma (Independence of irrelevant chords)

Suppose Γ_0, Γ_1 have an outermost chord γ in the same position. Then

$$m(\Gamma_0, \Gamma_1) = m(\Gamma_0 - \gamma, \Gamma_1 - \gamma).$$

Proof by “finger down the cylinder”.

Lemma (Bypass stacking)

*Suppose Γ_0, Γ_1 are related by a bypass move.
(In correct order, $\Gamma_1 = Up_c(\Gamma_0)$.)
Then $m(\Gamma_0, \Gamma_1) = 1$.*

Contact interpretation of partial order

Proposition (Contact interpretation of \preceq)

$\mathcal{M}(\Gamma_{w_0}, \Gamma_{w_1})$ is tight iff $w_0 \preceq w_1$.

Proposition (General stackability)

Γ_0, Γ_1 chord diagrams, n chords, euler class e .

$\mathcal{M}(\Gamma_0, \Gamma_1)$ is tight $\Leftrightarrow \# \left\{ (w_0, w_1) : \begin{array}{l} w_0 \preceq w_1 \\ \Gamma_{w_i} \text{ occurs in } \Gamma_i \end{array} \right\}$ is odd.

Contact interpretation of partial order

Proposition (Contact interpretation of \preceq)

$\mathcal{M}(\Gamma_{w_0}, \Gamma_{w_1})$ is tight iff $w_0 \preceq w_1$.

Proposition (General stackability)

Γ_0, Γ_1 chord diagrams, n chords, euler class e .

$\mathcal{M}(\Gamma_0, \Gamma_1)$ is tight $\Leftrightarrow \# \left\{ (w_0, w_1) : \begin{array}{l} w_0 \preceq w_1 \\ \Gamma_{w_i} \text{ occurs in } \Gamma_i \end{array} \right\}$ is odd.

Outline

- 1 Background
 - Contact geometry
 - Sutured Floer homology, contact elements, TQFT
- 2 Contact elements in $SFH(T)$
 - Solid tori, contact structures, Catalan, Narayana
 - Computation, addition of contact elements
 - Creation operators, basis of contact elements
- 3 Main theorems
 - Statements
 - Properties of contact elements
- 4 Contact geometry applications
 - Stackability
 - Contact categories
- 5 Idea of proof of main theorems
 - Comparable pairs and bypass systems

Contact category

Honda (unpublished...)

Σ surface.

Contact category $\mathcal{C}(\Sigma)$

Objects:

- Dividing sets Γ on Σ

Morphisms $\Gamma_0 \longrightarrow \Gamma_1$: “Contact cobordisms”

- Contact structures on $\Sigma \times I$ with $\Gamma_{\Sigma \times \{i\}} = \Gamma_i$.

Composition of morphisms $\Gamma_0 \longrightarrow \Gamma_1 \longrightarrow \Gamma_2$:

- Glue contact structures.

- For surface Σ with boundary: fix marked points on $\partial\Sigma$, vertical sutures on “cobordisms”.
- One “zero” morphism for all overtwisted structures.

Contact category

Honda (unpublished...)

Σ surface.

Contact category $\mathcal{C}(\Sigma)$

Objects:

- **Dividing sets** Γ on Σ

Morphisms $\Gamma_0 \longrightarrow \Gamma_1$: “Contact cobordisms”

- Contact structures on $\Sigma \times I$ with $\Gamma_{\Sigma \times \{i\}} = \Gamma_i$.

Composition of morphisms $\Gamma_0 \longrightarrow \Gamma_1 \longrightarrow \Gamma_2$:

- Glue contact structures.

- For surface Σ with boundary: fix marked points on $\partial\Sigma$, vertical sutures on “cobordisms”.
- One “zero” morphism for all overtwisted structures.

Contact category

Honda (unpublished...)

Σ surface.

Contact category $\mathcal{C}(\Sigma)$

Objects:

- Dividing sets Γ on Σ

Morphisms $\Gamma_0 \longrightarrow \Gamma_1$: “Contact cobordisms”

- **Contact structures on $\Sigma \times I$ with $\Gamma_{\Sigma \times \{i\}} = \Gamma_i$.**

Composition of morphisms $\Gamma_0 \longrightarrow \Gamma_1 \longrightarrow \Gamma_2$:

- Glue contact structures.

- For surface Σ with boundary: fix marked points on $\partial\Sigma$, vertical sutures on “cobordisms”.
- One “zero” morphism for all overtwisted structures.

Contact category

Honda (unpublished...)

Σ surface.

Contact category $\mathcal{C}(\Sigma)$

Objects:

- Dividing sets Γ on Σ

Morphisms $\Gamma_0 \longrightarrow \Gamma_1$: “Contact cobordisms”

- Contact structures on $\Sigma \times I$ with $\Gamma_{\Sigma \times \{i\}} = \Gamma_i$.

Composition of morphisms $\Gamma_0 \longrightarrow \Gamma_1 \longrightarrow \Gamma_2$:

- **Glue contact structures.**

- For surface Σ with boundary: fix marked points on $\partial\Sigma$, vertical sutures on “cobordisms”.
- One “zero” morphism for all overtwisted structures.

Contact category

Honda (unpublished...)

Σ surface.

Contact category $\mathcal{C}(\Sigma)$

Objects:

- Dividing sets Γ on Σ

Morphisms $\Gamma_0 \longrightarrow \Gamma_1$: “Contact cobordisms”

- Contact structures on $\Sigma \times I$ with $\Gamma_{\Sigma \times \{i\}} = \Gamma_i$.

Composition of morphisms $\Gamma_0 \longrightarrow \Gamma_1 \longrightarrow \Gamma_2$:

- Glue contact structures.

- For surface Σ **with boundary**: fix marked points on $\partial\Sigma$, vertical sutures on “cobordisms”.
- One “zero” morphism for all overtwisted structures.

Properties of the contact category

- Behaves **functorially** w.r.t. SFH .
- Has something like exact triangles:
 - Bypass triples?
 - “Generalised bypass triples” — multiple bypass attachments?
- Has something like cones.
 - “Cone of up bypass is down bypass”.
- Has something like octahedral axiom:
 - ~ 6 contact elements in $SFH(T, 4, 1) \cong \mathbb{Z}_2^3$.

Our work computes $\mathcal{C}(D^2, n)$.

- Objects = chord diagrams.
- Morphisms = stackability m .
- Composition of tight morphisms $\Gamma_0 \longrightarrow \Gamma_1 \longrightarrow \Gamma_2$:

$$\begin{cases} \text{tight} & m(\Gamma_0, \Gamma_2) = 1 \text{ and } \Gamma_1 \text{ exists in } \mathcal{M}(\Gamma_0, \Gamma_2) \\ * & \text{otherwise} \end{cases}$$

Properties of the contact category

- Behaves functorially w.r.t. SFH .
- Has something like **exact triangles**:
 - Bypass triples?
 - “Generalised bypass triples” — multiple bypass attachments?
- Has something like cones.
 - “Cone of up bypass is down bypass”.
- Has something like octahedral axiom:
 - ~ 6 contact elements in $SFH(T, 4, 1) \cong \mathbb{Z}_2^3$.

Our work computes $\mathcal{C}(D^2, n)$.

- Objects = chord diagrams.
- Morphisms = stackability m .
- Composition of tight morphisms $\Gamma_0 \longrightarrow \Gamma_1 \longrightarrow \Gamma_2$:

$$\begin{cases} \text{tight} & m(\Gamma_0, \Gamma_2) = 1 \text{ and } \Gamma_1 \text{ exists in } \mathcal{M}(\Gamma_0, \Gamma_2) \\ * & \text{otherwise} \end{cases}$$

Properties of the contact category

- Behaves functorially w.r.t. SFH .
- Has something like exact triangles:
 - Bypass triples?
 - “Generalised bypass triples” — multiple bypass attachments?
- Has something like **cones**.
 - “Cone of up bypass is down bypass”.
- Has something like octahedral axiom:
 - ~ 6 contact elements in $SFH(T, 4, 1) \cong \mathbb{Z}_2^3$.

Our work computes $\mathcal{C}(D^2, n)$.

- Objects = chord diagrams.
- Morphisms = stackability m .
- Composition of tight morphisms $\Gamma_0 \longrightarrow \Gamma_1 \longrightarrow \Gamma_2$:

$$\begin{cases} \text{tight} & m(\Gamma_0, \Gamma_2) = 1 \text{ and } \Gamma_1 \text{ exists in } \mathcal{M}(\Gamma_0, \Gamma_2) \\ * & \text{otherwise} \end{cases}$$

Properties of the contact category

- Behaves functorially w.r.t. SFH .
- Has something like exact triangles:
 - Bypass triples?
 - “Generalised bypass triples” — multiple bypass attachments?
- Has something like cones.
 - “Cone of up bypass is down bypass”.
- Has something like **octahedral axiom**:
 - ~ 6 contact elements in $SFH(T, 4, 1) \cong \mathbb{Z}_2^3$.

Our work computes $\mathcal{C}(D^2, n)$.

- Objects = chord diagrams.
- Morphisms = stackability m .
- Composition of tight morphisms $\Gamma_0 \longrightarrow \Gamma_1 \longrightarrow \Gamma_2$:

$$\begin{cases} \text{tight} & m(\Gamma_0, \Gamma_2) = 1 \text{ and } \Gamma_1 \text{ exists in } \mathcal{M}(\Gamma_0, \Gamma_2) \\ * & \text{otherwise} \end{cases}$$

Properties of the contact category

- Behaves functorially w.r.t. SFH .
- Has something like exact triangles:
 - Bypass triples?
 - “Generalised bypass triples” — multiple bypass attachments?
- Has something like cones.
 - “Cone of up bypass is down bypass”.
- Has something like octahedral axiom:
 - ~ 6 contact elements in $SFH(T, 4, 1) \cong \mathbb{Z}_2^3$.

Our work **computes** $\mathcal{C}(D^2, n)$.

- Objects = chord diagrams.
- Morphisms = stackability m .
- Composition of tight morphisms $\Gamma_0 \longrightarrow \Gamma_1 \longrightarrow \Gamma_2$:

$$\begin{cases} \text{tight} & m(\Gamma_0, \Gamma_2) = 1 \text{ and } \Gamma_1 \text{ exists in } \mathcal{M}(\Gamma_0, \Gamma_2) \\ * & \text{otherwise} \end{cases}$$

The bounded contact category

Idea of chord diagrams “existing in” $\mathcal{M}(\Gamma_0, \Gamma_1)$ leads to:

Bounded contact category

$\mathcal{C}^b(\Gamma_0, \Gamma_1) =$ “Sub-category of chord diagrams and cobordisms which occur in tight contact $\mathcal{M}(\Gamma_0, \Gamma_1)$.”

Proposition

$$\mathcal{C}^b(\Gamma, \Gamma) = \{\Gamma\}$$

I.e. no chord diagrams exist in $\mathcal{M}(\Gamma, \Gamma)$ other than Γ .

Proposition

$\mathcal{C}^b(\Gamma_0, \Gamma_1)$ is *partially ordered*.

I.e. if morphisms $A \longrightarrow B \longrightarrow A$ then $A = B$.

The bounded contact category

Idea of chord diagrams “existing in” $\mathcal{M}(\Gamma_0, \Gamma_1)$ leads to:

Bounded contact category

$\mathcal{C}^b(\Gamma_0, \Gamma_1) =$ “Sub-category of chord diagrams and cobordisms which occur in tight contact $\mathcal{M}(\Gamma_0, \Gamma_1)$.”

Proposition

$$\mathcal{C}^b(\Gamma, \Gamma) = \{\Gamma\}$$

I.e. no chord diagrams exist in $\mathcal{M}(\Gamma, \Gamma)$ other than Γ .

Proposition

$\mathcal{C}^b(\Gamma_0, \Gamma_1)$ is *partially ordered*.

I.e. if morphisms $A \longrightarrow B \longrightarrow A$ then $A = B$.

The bounded contact category

Idea of chord diagrams “existing in” $\mathcal{M}(\Gamma_0, \Gamma_1)$ leads to:

Bounded contact category

$\mathcal{C}^b(\Gamma_0, \Gamma_1) =$ “Sub-category of chord diagrams and cobordisms which occur in tight contact $\mathcal{M}(\Gamma_0, \Gamma_1)$.”

Proposition

$$\mathcal{C}^b(\Gamma, \Gamma) = \{\Gamma\}$$

I.e. no chord diagrams exist in $\mathcal{M}(\Gamma, \Gamma)$ other than Γ .

Proposition

$\mathcal{C}^b(\Gamma_0, \Gamma_1)$ is *partially ordered*.

I.e. if morphisms $A \longrightarrow B \longrightarrow A$ then $A = B$.

Bounded contact category computations: on basis

We can compute $\mathcal{C}^b(\Gamma_0, \Gamma_1)$ in some cases.

Proposition (Bounded contact category of basis cobordism)

For **basis chord diagrams** $\Gamma_{w_0}, \Gamma_{w_1}$ for words $w_0, w_1 \in W(n_-, n_+)$,

$$\mathcal{C}^b(\Gamma_{w_0}, \Gamma_{w_1}) \cong \{w \in W(n_-, n_+) : w_0 \preceq w \preceq w_1\}$$

If we take w_0 minimal and w_1 maximal...

$$w_0 = \overbrace{- \cdots -}^{n_-} \overbrace{+ \cdots +}^{n_+}, \quad w_1 = \overbrace{+ \cdots +}^{n_+} \overbrace{- \cdots -}^{n_-}$$

Call this $\mathcal{M}(\Gamma_{w_0}, \Gamma_{w_1})$ the universal cobordism $\mathcal{U}(n_-, n_+)$.

Bounded contact category computations: on basis

We can compute $\mathcal{C}^b(\Gamma_0, \Gamma_1)$ in some cases.

Proposition (Bounded contact category of basis cobordism)

For basis chord diagrams $\Gamma_{w_0}, \Gamma_{w_1}$ for words $w_0, w_1 \in W(n_-, n_+)$,

$$\mathcal{C}^b(\Gamma_{w_0}, \Gamma_{w_1}) \cong \{w \in W(n_-, n_+) : w_0 \preceq w \preceq w_1\}$$

If we take w_0 minimal and w_1 maximal...

$$w_0 = \overbrace{- - \cdots -}^{n_-} \overbrace{+ + \cdots +}^{n_+}, \quad w_1 = \overbrace{+ + \cdots +}^{n_+} \overbrace{- - \cdots -}^{n_-}$$

Call this $\mathcal{M}(\Gamma_{w_0}, \Gamma_{w_1})$ the **universal cobordism** $\mathcal{U}(n_-, n_+)$.

Bounded contact category computations: universal

Proposition (Bounded contact category of universal cobordism)

$$\mathcal{C}^b(\mathcal{U}(n_-, n_+)) \cong W(n_-, n_+)$$

This means:

- Chord diagrams in $\mathcal{U}(n_-, n_+)$ are precisely basis diagrams.
- “Universal cobordism” “geometrically realises” $W(n_-, n_+)$.

Note tight $\mathcal{U}(n_-, n_+)$ obtained by a single bypass attachment.

Figure: Bypass move $---++ ++$ to $++++--$.

Bounded contact category computations: universal

Proposition (Bounded contact category of universal cobordism)

$$\mathcal{C}^b(\mathcal{U}(n_-, n_+)) \cong W(n_-, n_+)$$

This means:

- Chord diagrams in $\mathcal{U}(n_-, n_+)$ are precisely **basis diagrams**.
- “Universal cobordism” “geometrically realises” $W(n_-, n_+)$.

Note tight $\mathcal{U}(n_-, n_+)$ obtained by a single bypass attachment.

Figure: Bypass move $---++ ++$ to $++++--$.

Bounded contact category computations: universal

Proposition (Bounded contact category of universal cobordism)

$$\mathcal{C}^b(\mathcal{U}(n_-, n_+)) \cong W(n_-, n_+)$$

This means:

- Chord diagrams in $\mathcal{U}(n_-, n_+)$ are precisely basis diagrams.
- “Universal cobordism” “geometrically realises” $W(n_-, n_+)$.

Note tight $\mathcal{U}(n_-, n_+)$ obtained by a **single bypass attachment**.

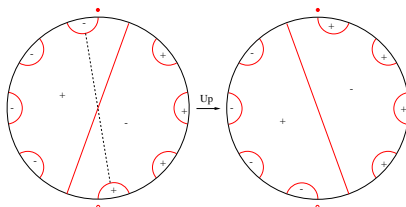


Figure: Bypass move $--- + + + +$ to $+ + + + - - -$.

Bypasses within a bypass

$\mathcal{C}^b(\mathcal{U}) =$ “all bypasses within this bypass”. In fact:

Theorem (Bypasses within any bypass)

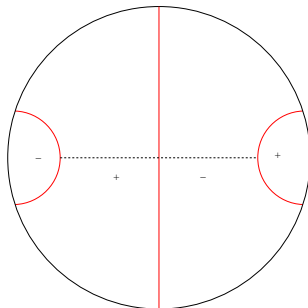
*Let Γ_1 be obtained from a single upwards bypass move on Γ_0 .
Then*

$$\mathcal{C}^b(\Gamma_0, \Gamma_1) = W(n_-, n_+).$$

$\mathcal{C}^b(\mathcal{U})$ = “all bypasses within this bypass”. In fact:

Then

$$\mathcal{C}^b(\Gamma_0, \Gamma_1) = W(n_-, n_+).$$



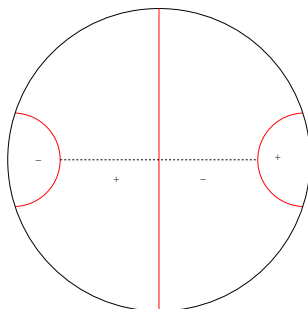
Bypasses within a bypass

$\mathcal{C}^b(\mathcal{U}) =$ “all bypasses within this bypass”. In fact:

Theorem (Bypasses within any bypass)

Let Γ_1 be obtained from a single upwards bypass move on Γ_0 .
Then

$$\mathcal{C}^b(\Gamma_0, \Gamma_1) = W(n_-, n_+).$$



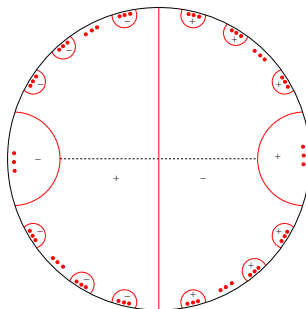
Bypasses within a bypass

$\mathcal{C}^b(\mathcal{U}) =$ “all bypasses within this bypass”. In fact:

Theorem (Bypasses within any bypass)

Let Γ_1 be obtained from a single upwards bypass move on Γ_0 .
Then

$$\mathcal{C}^b(\Gamma_0, \Gamma_1) = W(n_-, n_+).$$



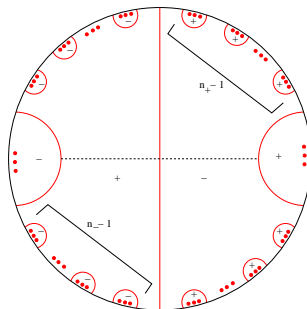
Bypasses within a bypass

$\mathcal{C}^b(\mathcal{U}) =$ “all bypasses within this bypass”. In fact:

Theorem (Bypasses within any bypass)

Let Γ_1 be obtained from a single upwards bypass move on Γ_0 .
Then

$$\mathcal{C}^b(\Gamma_0, \Gamma_1) = W(n_-, n_+).$$



A set of small navigation icons typically found in Beamer presentations, including symbols for back, forward, search, and other slide controls.

A 2-category

Categorical interpretation of main theorem:

- Inclusion $\iota : \mathcal{C}^b(\mathcal{U}(n_-, n_+)) \longrightarrow \mathcal{C}(D^2, n, e)$.

Theorem (Main theorem, abstract nonsense version)

$$Ob\left(\mathcal{C}(D^2, n, e)\right) \cong Cone \circ \iota \left(Mor\left(\mathcal{C}^b(\mathcal{U}(n_-, n_+))\right) \right)$$

“Chord diagrams are precisely the cones of morphisms in the universal cobordism”.

I.e. “objects are morphisms”. Hence...

Contact 2-category $\mathcal{C}(n+1, e)$

- Objects = words in $W(n_-, n_+) =$ basis chord diagrams
- 1-morphisms = $\{\text{partial order } \preceq\} =$ chord diagrams
- 2-morphisms = contact structures on $\mathcal{M}(\Gamma_0, \Gamma_1)$.

A 2-category

Categorical interpretation of main theorem:

- Inclusion $\iota : \mathcal{C}^b(\mathcal{U}(n_-, n_+)) \longrightarrow \mathcal{C}(D^2, n, e)$.

Theorem (Main theorem, abstract nonsense version)

$$Ob\left(\mathcal{C}(D^2, n, e)\right) \cong Cone \circ \iota \left(Mor\left(\mathcal{C}^b(\mathcal{U}(n_-, n_+))\right) \right)$$

“Chord diagrams are precisely the cones of morphisms in the universal cobordism”.

I.e. “objects are morphisms”. Hence...

Contact 2-category $\mathcal{C}(n+1, e)$

- Objects = words in $W(n_-, n_+) =$ basis chord diagrams
- 1-morphisms = $\{\text{partial order } \preceq\} =$ chord diagrams
- 2-morphisms = contact structures on $\mathcal{M}(\Gamma_0, \Gamma_1)$.

A 2-category

Categorical interpretation of main theorem:

- Inclusion $\iota : \mathcal{C}^b(\mathcal{U}(n_-, n_+)) \longrightarrow \mathcal{C}(D^2, n, e)$.

Theorem (Main theorem, abstract nonsense version)

$$Ob\left(\mathcal{C}(D^2, n, e)\right) \cong Cone \circ \iota \left(Mor\left(\mathcal{C}^b(\mathcal{U}(n_-, n_+))\right) \right)$$

“Chord diagrams are precisely the cones of morphisms in the universal cobordism”.

I.e. “objects are morphisms”. Hence...

Contact 2-category $\mathcal{C}(n+1, e)$

- **Objects** = words in $W(n_-, n_+) =$ basis chord diagrams
- **1-morphisms** = $\{\text{partial order } \preceq\} =$ chord diagrams
- **2-morphisms** = contact structures on $\mathcal{M}(\Gamma_0, \Gamma_1)$.

Outline

- 1 Background
 - Contact geometry
 - Sutured Floer homology, contact elements, TQFT
- 2 Contact elements in $SFH(T)$
 - Solid tori, contact structures, Catalan, Narayana
 - Computation, addition of contact elements
 - Creation operators, basis of contact elements
- 3 Main theorems
 - Statements
 - Properties of contact elements
- 4 Contact geometry applications
 - Stackability
 - Contact categories
- 5 Idea of proof of main theorems
 - Comparable pairs and bypass systems

An explicit construction

Prove correspondence

$$\{ \text{Chord diagrams} \} \leftrightarrow \{ \text{Comparable pairs of words} \}$$

Essential idea:

Given $w_1 \preceq w_2$, construct a chord diagram Γ whose decomposition has w_1 first and w_2 last.

- Along the way, show that every other word w in the decomposition has $w_1 \preceq w \preceq w_2$.
- Elementary combinatorics gives $\# \{ \text{pairs } (w_1 \preceq w_2) \} = C_n^e$.
- Done.

An explicit construction

Prove correspondence

$$\{ \text{Chord diagrams} \} \leftrightarrow \left\{ \begin{array}{c} \text{Comparable pairs} \\ \text{of words} \end{array} \right\}$$

Essential idea:

Given $w_1 \preceq w_2$, construct a chord diagram Γ whose decomposition has w_1 first and w_2 last.

- Along the way, show that every other word w in the decomposition has $w_1 \preceq w \preceq w_2$.
- Elementary combinatorics gives $\# \{ \text{pairs } (w_1 \preceq w_2) \} = C_n^e$.
- Done.

An explicit construction

Prove correspondence

$$\{ \text{Chord diagrams} \} \leftrightarrow \left\{ \begin{array}{c} \text{Comparable pairs} \\ \text{of words} \end{array} \right\}$$

Essential idea:

Given $w_1 \preceq w_2$, construct a chord diagram Γ whose decomposition has w_1 first and w_2 last.

- Along the way, show that every other word w in the decomposition has $w_1 \preceq w \preceq w_2$.
- Elementary combinatorics gives $\# \{ \text{pairs } (w_1 \preceq w_2) \} = C_n^e$.
- Done.

Bypass systems

Take $\Gamma_{w_1}, \Gamma_{w_2}$ basis chord diagrams, $w_1 \preceq w_2$.

Proposition

- ① On Γ_{w_1} there exists a bypass system $FBS(\Gamma_{w_1}, \Gamma_{w_2})$ such that performing **upwards** bypass moves along it gives Γ_{w_2} .
- ② On Γ_{w_2} there exists a bypass system $BBS(\Gamma_{w_1}, \Gamma_{w_2})$ such that performing **downwards** bypass moves gives Γ_{w_1} .

Proposition

Performing either:

- ① **downwards** bypass moves on Γ_{w_1} along $FBS(\Gamma_{w_1}, \Gamma_{w_2})$, or
- ② **upwards** bypass moves on Γ_{w_2} along $BBS(\Gamma_{w_1}, \Gamma_{w_2})$

gives a chord diagram containing w_1, w_2 in decomposition and:

- for all words w in the decomposition, $w_1 \preceq w \preceq w_2$.

Bypass systems

Take $\Gamma_{w_1}, \Gamma_{w_2}$ basis chord diagrams, $w_1 \preceq w_2$.

Proposition

- ① On Γ_{w_1} there exists a bypass system $FBS(\Gamma_{w_1}, \Gamma_{w_2})$ such that performing **upwards** bypass moves along it gives Γ_{w_2} .
- ② On Γ_{w_2} there exists a bypass system $BBS(\Gamma_{w_1}, \Gamma_{w_2})$ such that performing **downwards** bypass moves gives Γ_{w_1} .

Proposition

Performing either:

- ① **downwards** bypass moves on Γ_{w_1} along $FBS(\Gamma_{w_1}, \Gamma_{w_2})$, or
 - ② **upwards** bypass moves on Γ_{w_2} along $BBS(\Gamma_{w_1}, \Gamma_{w_2})$
- gives a chord diagram containing w_1, w_2 in decomposition and:
- for all words w in the decomposition, $w_1 \preceq w \preceq w_2$.

Proof by increasingly difficult example

Easy level

“Elementary move” on word = Bypass move on “attaching arc”.

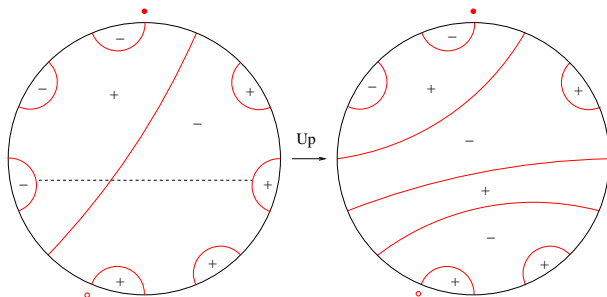


Figure: Upwards move from $\Gamma_{---++++}$ to Γ_{-++-++} .

Proof by increasingly difficult example

Medium level

$$\left\{ \begin{array}{c} \text{"Generalized elementary"} \\ \text{move"} \end{array} \text{ on word} \right\} = \left\{ \begin{array}{c} \text{Bypass moves on} \\ \text{"generalized attaching arc"} \end{array} \right\}$$

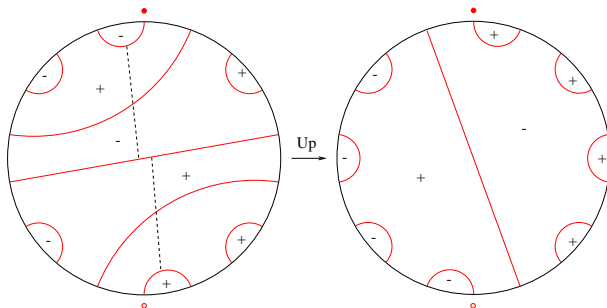


Figure: Upwards moves from $\Gamma_{--++--++}$ to $\Gamma_{++++-----}$.

Proof by increasingly difficult example

Hard level

$$\left\{ \begin{array}{l} \text{"Nicely ordered sequence"} \\ \text{of "generalized elementary"} \\ \text{moves" on word} \end{array} \right\} = \left\{ \begin{array}{l} \text{Bypass moves on} \\ \text{"well placed sequence" of} \\ \text{"generalized attaching arcs"} \end{array} \right\}$$

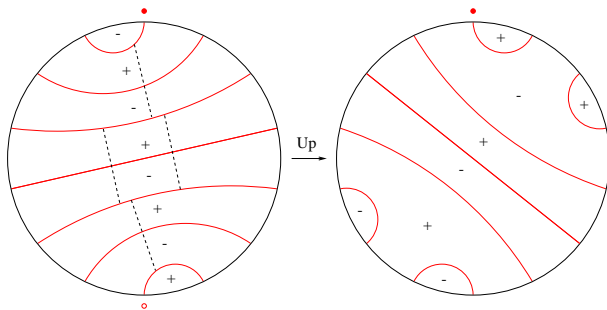


Figure: Upwards moves from Γ_{-++-+-} to Γ_{++-+-} .

Questions and directions

From perspective of *Floer homology and TQFT*:

- Solid tori with sutures of different slope?
- General surfaces $\Sigma \times S^1$ — dimensionally-reduced TQFT.
- \mathbb{Z} coefficients? Twisted coefficients?
- Relation to bordered Heegaard Floer theory?
- More physics analogies/interpretations?

From the perspective of *category theory*:

- Contact 1-category \rightsquigarrow 2-category \rightsquigarrow 3-category?
- Better triangulated structure? Triangles, cones, kernels?

From the perspective of *contact topology*:

- More computations of bounded contact categories?
- Higher genus surfaces?
- More general cobordisms?
- Better bypass analysis? “Contact Reidemeister moves”?

Questions and directions

From perspective of *Floer homology* and *TQFT*:

- Solid tori with sutures of different slope?
- General surfaces $\Sigma \times S^1$ — dimensionally-reduced TQFT.
- \mathbb{Z} coefficients? Twisted coefficients?
- Relation to bordered Heegaard Floer theory?
- More physics analogies/interpretations?

From the perspective of *category theory*:

- Contact 1-category \rightsquigarrow 2-category \rightsquigarrow 3-category?
- Better triangulated structure? Triangles, cones, kernels?

From the perspective of *contact topology*:

- More computations of bounded contact categories?
- Higher genus surfaces?
- More general cobordisms?
- Better bypass analysis? “Contact Reidemeister moves”?

Questions and directions

From perspective of *Floer homology* and *TQFT*:

- Solid tori with sutures of different slope?
- General surfaces $\Sigma \times S^1$ — dimensionally-reduced TQFT.
- \mathbb{Z} coefficients? Twisted coefficients?
- Relation to bordered Heegaard Floer theory?
- More physics analogies/interpretations?

From the perspective of *category theory*:

- Contact 1-category \rightsquigarrow 2-category \rightsquigarrow 3-category?
- Better triangulated structure? Triangles, cones, kernels?

From the perspective of *contact topology*:

- More computations of bounded contact categories?
- Higher genus surfaces?
- More general cobordisms?
- Better bypass analysis? “Contact Reidemeister moves”?

Thanks!

Thanks for coming!