

# Itsy Bitsy Topological Field Theory

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# Outline

- 1 Background
  - Origins
  - Sutured Floer homology
- 2 Decompositions & quadrangulations
  - Decomposing sutured surfaces
  - Occupied surfaces
  - Quadrangulation
  - Sutured quadrangulated surfaces
  - Sutured quadrangulated field theory
- 3 Itsy bitsy topology
  - Sutures store information
  - Bypass relation
  - Digital creation and annihilation
  - Structure theorem
  - Physical connections

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# Motivation

- Work in SFH & contact geometry gives results which
  - can be described purely topologically/combinatorially; and
  - have striking physical analogies.
- We describe an object very like a  $(2 + 1)$ -dimensional TQFT (based on work of Honda–Kazez–Matić) which is:
  - Simple as a “toy model” ; and
  - Algebraic structure can be interpreted as processing information (*bits*),
  - or as analogous to creation/annihilation operators in QFT (*its*).
- John Archibald Wheeler: “it from bit”.

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# Topological Quantum Field Theory

“Classically” (Witten, Segal, Atiyah 1980s) an  $(n + 1)$ -dimensional TQFT assigns

$$\begin{aligned} n\text{-manifold } M &\rightsquigarrow \text{Vector space } Z(M) \\ (n + 1)\text{-manifold } W \text{ “filling” } M &\rightsquigarrow c(W) \in Z(M) \end{aligned}$$

$$\left\{ \begin{array}{c} (n + 1)\text{-dim cobordism} \\ \partial W = M_{in} \cup M_{out} \end{array} \right\} \rightsquigarrow \left\{ \begin{array}{c} \text{Linear map} \\ \mathcal{D}_W : Z(M_{in}) \rightarrow Z(M_{out}) \end{array} \right\}$$

$$Z(\sqcup_i M_i) = \bigotimes_i Z(M_i)$$

$$Z(\bar{M}) = Z(M)^*$$

(Note  $\text{Hom}(Z(M_{in}), Z(M_{out})) \cong Z(M_{in})^* \otimes Z(M_{out})$ .)

A *functor* from a cobordism/topological category to an algebraic category.

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# A TQFT with sutures

Honda–Kazez–Matić defined a TQFT-like object based on 2-dimensional surfaces (*always with nonempty boundary*) and *sutures*.

## Definition

*A set of sutures  $\Gamma$  on an oriented surface  $\Sigma$  is a set of disjoint oriented curves on  $\Sigma$ , cutting  $\Sigma$  into coherently oriented pieces*

$$\Sigma \setminus \Gamma = R_+ \cup R_-, \quad \partial R_{\pm} \setminus \partial \Sigma = \Gamma.$$

*Every component of  $\partial \Sigma$  is required to intersect  $\Gamma$ .*

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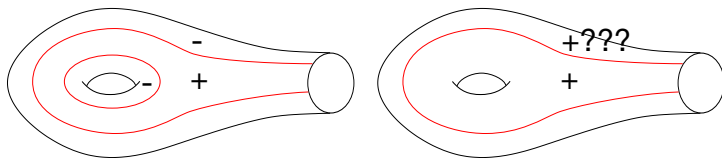
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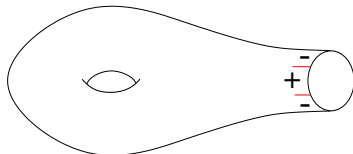
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# Boundaries of sutures

The restriction of  $\Gamma$  to  $\partial\Sigma$  forms a set of *signed points*  $F \subset \partial\Sigma$ .  
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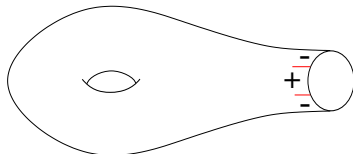
*The pair  $(\Sigma, F)$  is called a sutured background.*

Sutures  $\Gamma$  “fill in”  $(\Sigma, F)$ ,  $\partial\Gamma = F$ .



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# The itsy bitsy TQFT

Our “TQFT” will assign (always over  $\mathbb{Z}_2$ ):

Sutured background  $(\Sigma, F) \rightsquigarrow$  (Graded) vector space  $Z(\Sigma, F)$   
 Sutures  $\Gamma$  “filling”  $(\Sigma, F) \rightsquigarrow$  Suture element  $c(\Gamma) \in Z(\Sigma, F)$

$\left\{ \begin{array}{l} \text{Decorated morphism} \\ (\phi, \Gamma_c) : (\Sigma, F) \rightarrow (\Sigma', F') \end{array} \right\} \rightsquigarrow \left\{ \begin{array}{l} \text{(Graded) linear map} \\ \mathcal{D}_{\phi, \Gamma} : Z(\Sigma, F) \rightarrow Z(\Sigma', F') \end{array} \right\}$

Decorated morphism is painful to define... roughly consists of:

- an *inclusion*  $\phi : \Sigma \rightarrow \Sigma'$ , together with
- sutures  $\Gamma_c$  on the complement  $(\Sigma' \setminus \Sigma, F \cup F')$

(So sutures  $\Gamma$  on  $(\Sigma, F)$  give rise to sutures  $\Gamma \cup \Gamma_c$  on  $(\Sigma', F')$ .)

Sutured backgrounds and decorated morphisms form a *category*.

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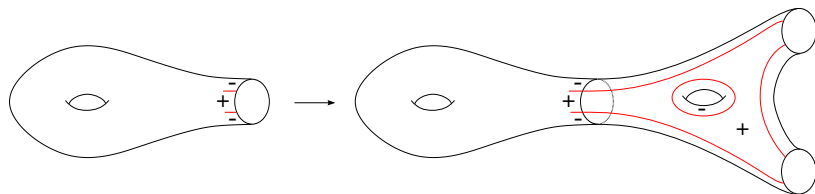
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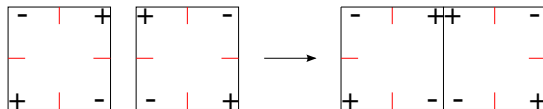
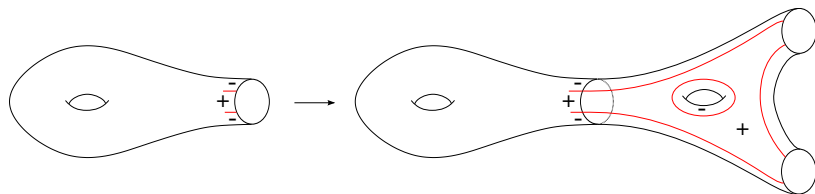
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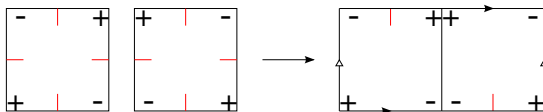
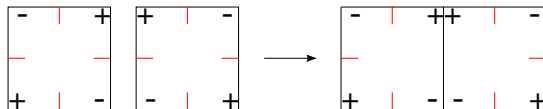
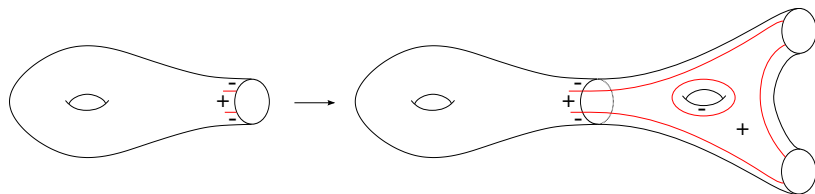




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# Sutured Floer homology

## Definition (Gabai)

A **sutured 3-manifold**  $(M, \Gamma)$  is a 3-manifold  $M$  with  $\partial$  such that  $(\partial M, \Gamma)$  is a sutured surface.

SFH assigns:

Balanced sutured  $(M, \Gamma) \rightsquigarrow$  (Graded) abelian gp.  $SFH(M, \Gamma)$   
Contact structure  $\xi$  on  $(M, \Gamma) \rightsquigarrow c(\xi) \in SFH(M, \Gamma)$

(Balanced:  $\chi(R_+) = \chi(R_-)$ ; every component of  $\partial M$  has sutures.)

(Counting holomorphic curves in symmetric product of Heegaard surface with boundary conditions prescribed by Heegaard circles.)

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Our TQFT originates from  $SFH$  of product manifolds

$$Z(\Sigma, F) = SFH(\Sigma \times S^1, F \times S^1)$$

Contact structures on these manifolds are described by

Theorem (Giroux, Honda)

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$\xi_\Gamma \qquad \qquad \qquad \Gamma$

Our  $c(\Gamma)$  is the contact element of the contact structure  $\xi_\Gamma$  corresponding to  $\Gamma$ .

# TQFT property of SFH

## Theorem (Honda–Kazez–Matić)

*Given  $(M, \Gamma)$  and  $(M', \Gamma')$ , an inclusion  $M \hookrightarrow \text{Int } M'$ , and a contact structure  $\xi_c$  on  $(M' \setminus M, \Gamma \cup \Gamma')$ , there is a natural map*

$$SFH(M, \Gamma) \rightarrow SFH(M', \Gamma')$$

*which sends each*

$$c(\xi) \mapsto c(\xi \cup \xi_c).$$

Our “decorated morphisms” derive from inclusions and complementary contact structures, but are more general...

# TQFT property of SFH

## Theorem (Honda–Kazez–Matić)

*Given  $(M, \Gamma)$  and  $(M', \Gamma')$ , an inclusion  $M \hookrightarrow \text{Int } M'$ , and a contact structure  $\xi_c$  on  $(M' \setminus M, \Gamma \cup \Gamma')$ , there is a natural map*

$$SFH(M, \Gamma) \rightarrow SFH(M', \Gamma')$$

*which sends each*

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Our “decorated morphisms” derive from inclusions and complementary contact structures, but are more general...

# Outline

- 1 Background
  - Origins
  - Sutured Floer homology
- 2 Decompositions & quadrangulations
  - Decomposing sutured surfaces
  - Occupied surfaces
  - Quadrangulation
  - Sutured quadrangulated surfaces
  - Sutured quadrangulated field theory
- 3 Itsy bitsy topology
  - Sutures store information
  - Bypass relation
  - Digital creation and annihilation
  - Structure theorem
  - Physical connections

# Decomposing sutured surfaces

A natural way to **decompose a sutured surface**  $(\Sigma, \Gamma)$ :

- Cut along a properly embedded arc  $a$  from  $C_-$  to  $C_+$ , transverse to  $\Gamma$ .

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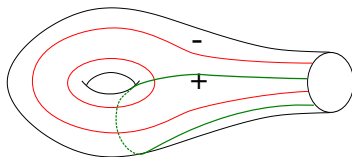
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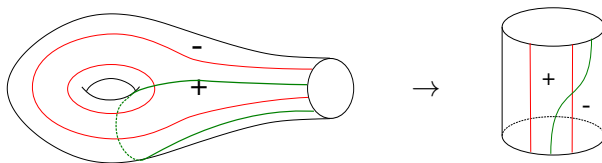
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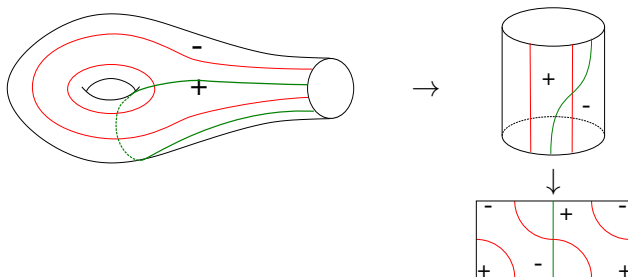
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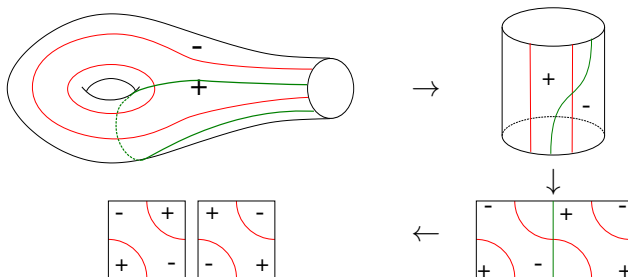
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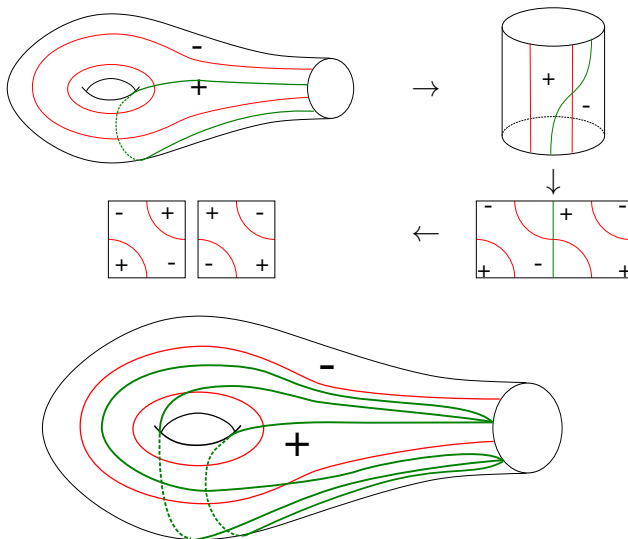
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# Occupied surfaces

## Definition

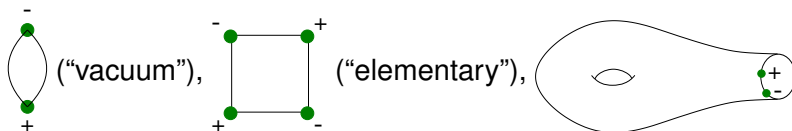
An *occupied surface*  $(\Sigma, V)$  is an oriented surface  $\Sigma$  with signed points  $V \subset \partial\Sigma$ , alternating in sign,  $V = V_- \cup V_+$ .

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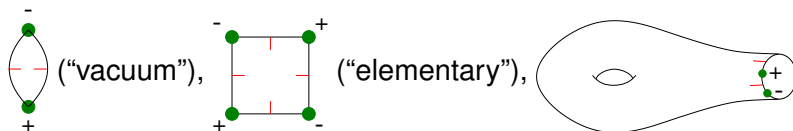


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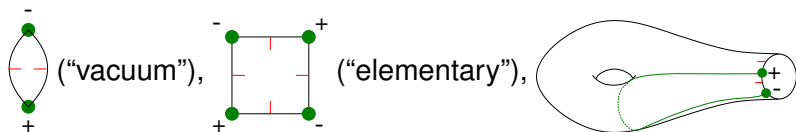
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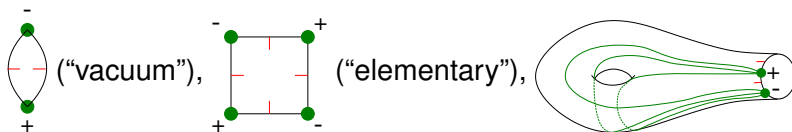
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Note:

- Any  $(\Sigma, V)$  without vacuum components decomposes into occupied squares — i.e. has a *quadrangulation*.
- Any quadrangulation of  $(\Sigma, V)$  has precisely  $N - \chi(\Sigma)$  occupied squares, where  $|V| = 2N$ .
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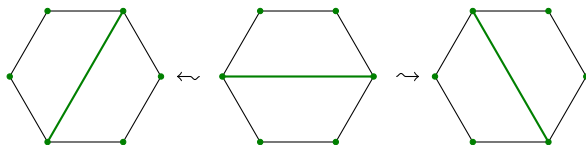
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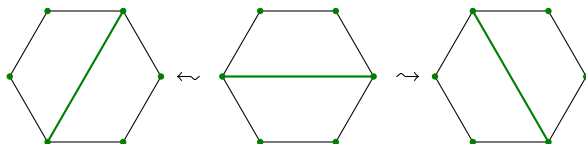
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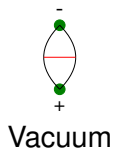
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Consider  $\Sigma$  with sutures  $\Gamma$  and quadrangulation  $A = \{a_j\}$ , transverse.



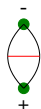
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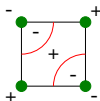


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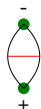
Vacuum



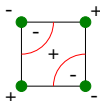
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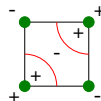
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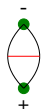
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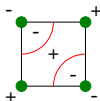
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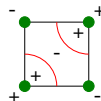
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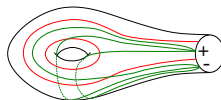
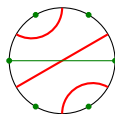
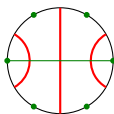
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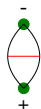


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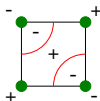


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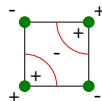
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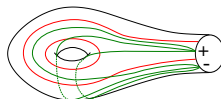
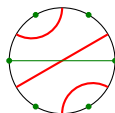
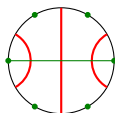
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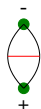


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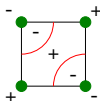
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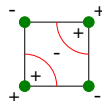
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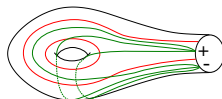
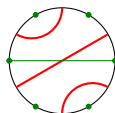
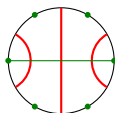
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## Definition

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Whenever  $\Gamma$  is *nonconfining* (each component of  $\Sigma \setminus \Gamma$  intersects  $\partial\Sigma$ ), we can find a basic quadrangulation.

# Sutured quadrangulated field theory

A *sutured quadrangulated field theory* (SQFT) is a pair  $(\mathcal{D}, c)$  where

- $\mathcal{D}$  is a functor

$$\left\{ \begin{array}{l} \text{Occupied surfaces \&} \\ \text{decorated morphisms} \end{array} \right\} \rightarrow \left\{ \begin{array}{l} \text{Graded } \mathbb{Z}_2 \\ \text{vector spaces} \end{array} \right\}$$

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and if  $\Gamma$  is a basic set of sutures  $\Gamma = \bigcup_i \Gamma_i$

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# Morphisms are gluing together squares

Occupied surface morphisms allow us to glue sides of squares in combinatorial fashion.

## Definition

*A decorated occupied surface morphism*

$(\phi, \Gamma_c) : (\Sigma, V) \rightarrow (\Sigma', V')$  *satisfies*

- $\phi : \Sigma \rightarrow \Sigma'$  *is an embedding on the interior of  $\Sigma$*
- $\phi$  *is a homeomorphism on boundary edges*
- *Distinguished arcs in  $\Sigma'$  (i.e. boundary edges of  $\Sigma'$  or  $\phi$ (boundary edges of  $\Sigma$ ) which intersect other than at endpoints, coincide*
- $\phi(V_+) \cup V'_+$  *and*  $\phi(V_-) \cup V'_-$  *disjoint*
- $\Gamma_c$  *sutures on complementary occupied surface  $\Sigma' \setminus \phi(\Sigma)$ .*

# Morphisms are gluing together squares

Occupied surface morphisms allow us to glue sides of squares in combinatorial fashion.

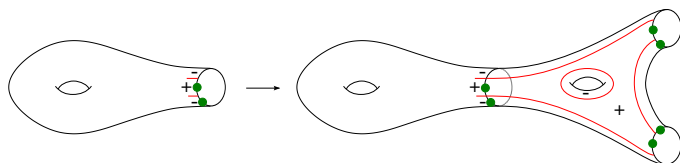
## Definition

*A decorated occupied surface morphism*

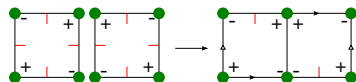
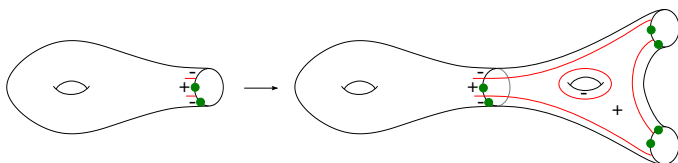
$(\phi, \Gamma_c) : (\Sigma, V) \rightarrow (\Sigma', V')$  *satisfies*

- $\phi : \Sigma \rightarrow \Sigma'$  *is an embedding on the interior of  $\Sigma$*
- $\phi$  *is a homeomorphism on boundary edges*
- *Distinguished arcs in  $\Sigma'$  (i.e. boundary edges of  $\Sigma'$  or  $\phi(\text{boundary edges of } \Sigma)$  which intersect other than at endpoints, coincide*
- $\phi(V_+) \cup V'_+$  *and*  $\phi(V_-) \cup V'_-$  *disjoint*
- $\Gamma_c$  *sutures on complementary occupied surface  $\Sigma' \setminus \phi(\Sigma)$ .*

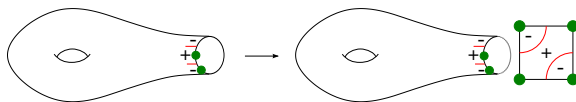
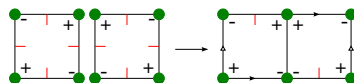
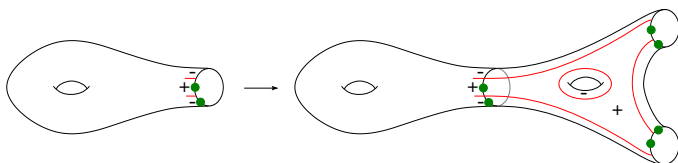
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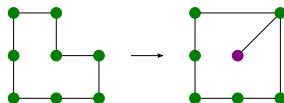
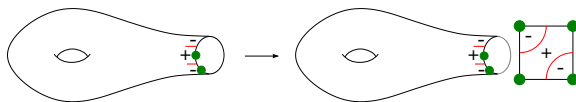
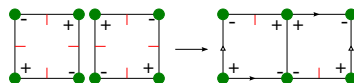
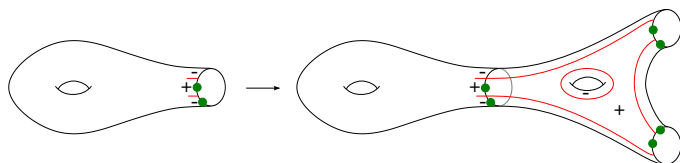
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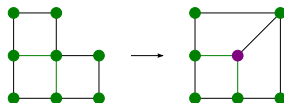
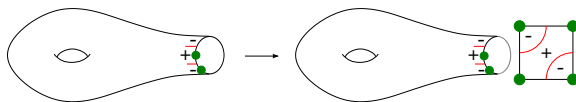
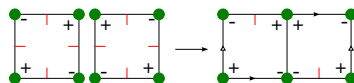
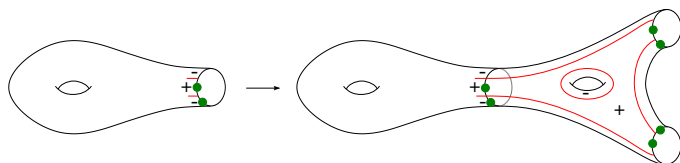
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# Dimensionally reduced SFH is an SQFT

## Theorem

*$SFH(\Sigma \times S^1, F \times S^1)$ , together with its TQFT properties, form an SQFT.*

(All known properties of SQFT:

- (Juhász) Decomposition theorems
- (Honda–Kazez–Matić) TQFT property
- Normalization =  $SFH(D^2 \times S^1, \{\cdot, \cdot\} \times S^1)$
- Euler-calss  $\leftrightarrow$  spin-c grading)



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# Outline

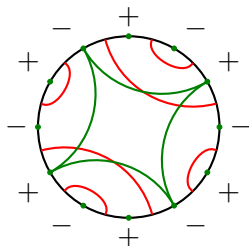
- 1 Background
  - Origins
  - Sutured Floer homology
- 2 Decompositions & quadrangulations
  - Decomposing sutured surfaces
  - Occupied surfaces
  - Quadrangulation
  - Sutured quadrangulated surfaces
  - Sutured quadrangulated field theory
- 3 Itsy bitsy topology
  - Sutures store information
  - Bypass relation
  - Digital creation and annihilation
  - Structure theorem
  - Physical connections

# Sutures store information

A basic quadrangulation puts sutures “in binary format”.

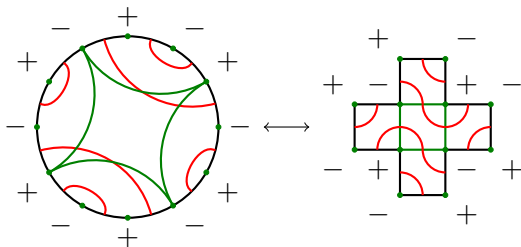
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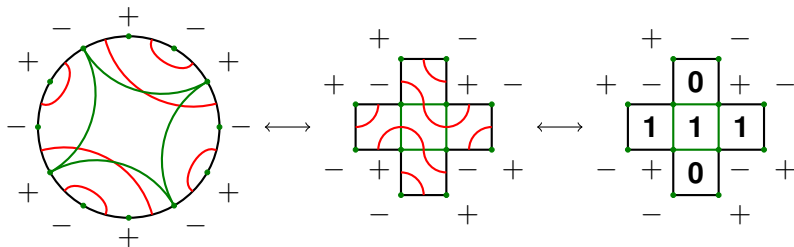
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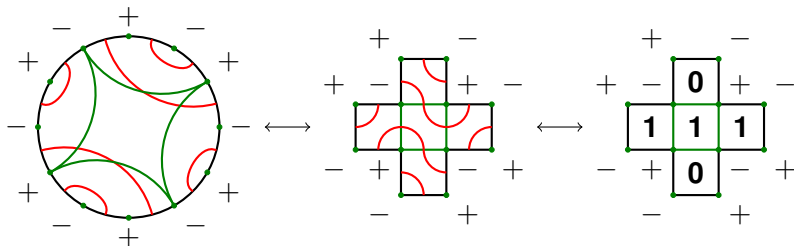
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$$c(\Gamma) = \begin{matrix} & 0 & & & & & & & \\ & \otimes & & & & & & & \\ 1 & \otimes & 1 & \otimes & 1 & \in & \mathbf{V} & \otimes & \mathbf{V} & \otimes & \mathbf{V} & \\ & \otimes & & & & & & & \\ & 0 & & & & & & & \\ & & & & & & & & \mathbf{V} \end{matrix}$$

# Bypass relation

## Proposition

$$c \left( \text{Diagram 1} \right) + c \left( \text{Diagram 2} \right) + c \left( \text{Diagram 3} \right) = 0.$$

(A *bypass* is a contact-geometric object introduced by Honda, giving rise to such dividing set alterations.)

This allows us to write any suture element as a sum of basis elements.



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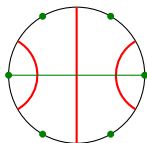
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$$\text{Diagram 4} = \text{Diagram 1} + \text{Diagram 3} = \mathbf{0} \otimes \mathbf{1} + \mathbf{1} \otimes \mathbf{0}$$

# Two curious maps

Adjoining an extra square (with a **0** or a **1**) gives a map

$$\begin{aligned} a_0^* : \mathbf{V}^{\otimes n} &\rightarrow \mathbf{V} \otimes \mathbf{V}^{\otimes n} \\ x &\mapsto \mathbf{0} \otimes x \end{aligned}$$

or

$$\begin{aligned} a_1^* : \mathbf{V}^{\otimes n} &\rightarrow \mathbf{V} \otimes \mathbf{V}^{\otimes n} \\ x &\mapsto \mathbf{1} \otimes x \end{aligned}$$

We call this a *digital creation* operator: “creation of **0**”.  
Other operations give *digital annihilation*, “deletion of **0**”.

$$\begin{aligned} a_0 : \mathbf{V}^{\otimes(n+1)} = \mathbf{V} \otimes \mathbf{V}^{\otimes n} &\rightarrow \mathbf{V}^{\otimes n} \\ \mathbf{0} \otimes e_1 \otimes \cdots \otimes e_n &\mapsto e_1 \otimes \cdots \otimes e_n \\ \mathbf{1} \otimes e_1 \otimes \cdots \otimes e_n &\mapsto \sum_{e_i=0} e_1 \otimes \cdots \otimes e_{i-1} \otimes \mathbf{1} \otimes \cdots \otimes e_n \end{aligned}$$

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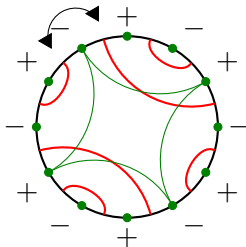
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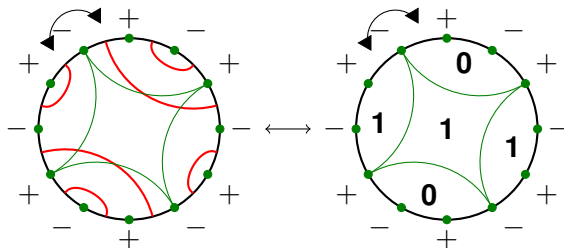
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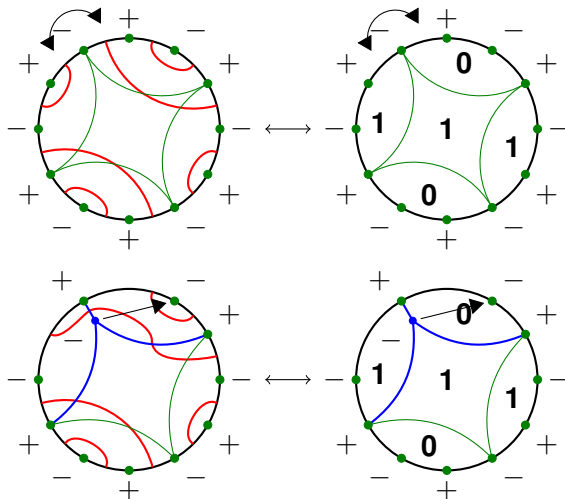
# An example



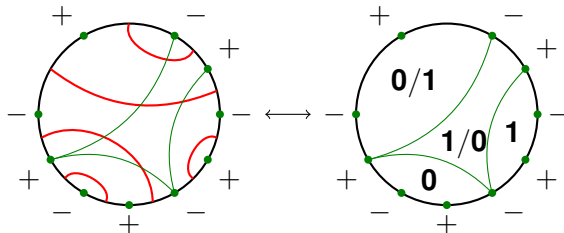
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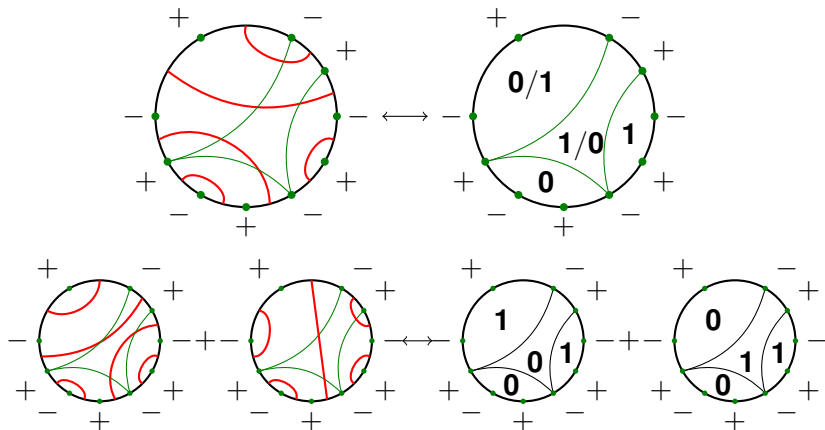
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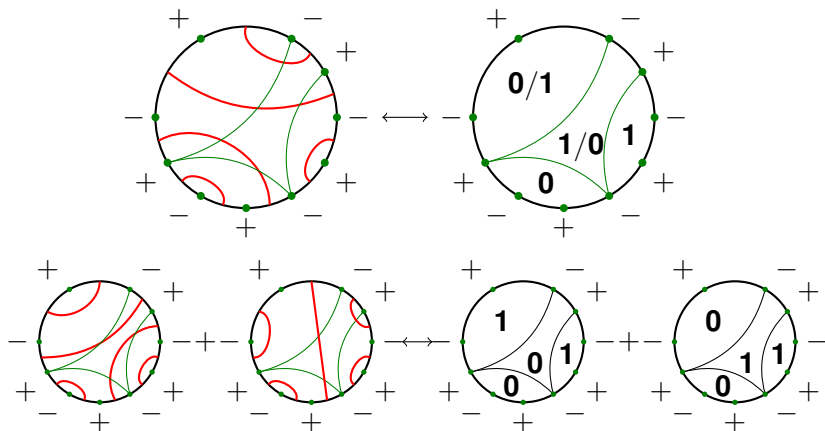
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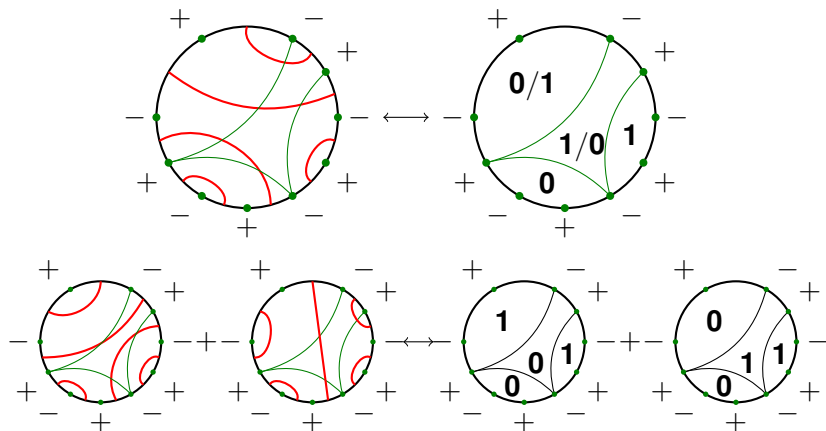
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Result  $0 \otimes 1 \otimes 1 \otimes 1 \otimes 0 \mapsto (1 \otimes 0 + 0 \otimes 1) \otimes 1 \otimes 0.$



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Result  $0 \otimes 1 \otimes 1 \otimes 1 \otimes 0 \mapsto (1 \otimes 0 + 0 \otimes 1) \otimes 1 \otimes 0$ .  
 I.e.  $a_1 \otimes 1^{\otimes 2}$ .

# A structure theorem for SQFT

## Theorem (M.)

*Any map of vector spaces in SQFT (over  $\mathbb{Z}_2$ ) is a composition of digital creation and generalised digital annihilation operators.*

## Corollary

*Any map  $SFH(\Sigma \times S^1, F \times S^1) \rightarrow SFH(\Sigma' \times S^1, F' \times S^1)$  induced by a surface inclusion is a composition of digital creation and generalised digital annihilation operators.*

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# Physical connections

Maps in SQFT can be interpreted as

- Creating/annihilating “particles” in occupied squares
- Manipulating binary information/“qubits” on each square.

Itsy and bitsy...

Also...

- It's possible to construct some analogous objects to “quantum logic gates” (over  $\mathbb{Z}_2$ ...)
- Similar to *topological quantum computation* via *anyons*.
- A quadrangulation of  $(\Sigma, V)$  gives  $\Sigma$  the structure of a *ribbon graph*:
  - squares of quadrangulation  $\rightsquigarrow$  vertices
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Further, a sutured quadrangulated surface gives a ribbon graph with:

- a *number of points* where sutures intersecting each edge
- a *diagram connecting points* on each vertex

Very reminiscent of *spin networks*, *diagrammatic representation theory*, *categorification*, etc...



Thanks for listening.