

The algebra and topology of contact categories

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 - strand algebras
 - Floer homology
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- Argue that they are elementary but interesting things.
- Mention some interesting connections:
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Standing assumptions:

manifolds are C^∞ smooth, compact, connected, oriented

Section 1: What is contact geometry?

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Roughly a contact category consists of:

- Objects = contact structures on/near a surface S
- Morphisms = contact structures on $S \times [0, 1]$

Definition

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- Cf symplectic forms (closed 2-form ω s.t. ω^n volume form)
- Contact forms only exist in odd dimension
- $\xi := \ker \alpha$ is a codimension-1 plane field

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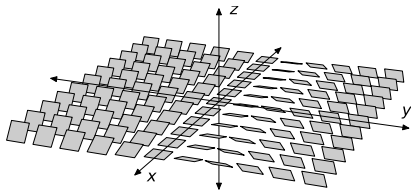
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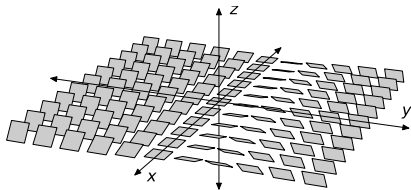
A *contact structure* ξ on M^{2n+1} is a maximally non-integrable codimension-1 plane field.

- In 3 dimensions, there is no surface tangent to ξ .

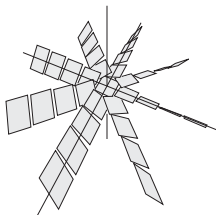
In \mathbb{R}^3 , take $\alpha = dz - y dx$, $\xi = \text{span} \{\partial_y, \partial_x + y\partial_z\}$



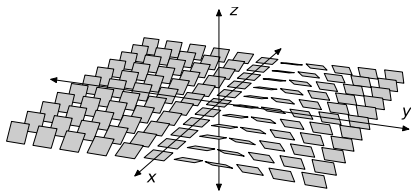
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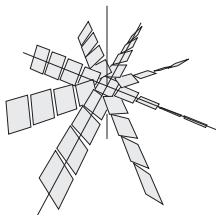
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In $S^3 = \text{unit quaternions}$, take $\xi_x = \text{span} \{ix, jx\}$.

Equivalence of contact structures

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In fact all contact manifolds are locally contactomorphic — no “contact curvature”.

Theorem (Darboux 1882; 3D version)

Given any M^3, α and $p \in M$, there exist coordinates x, y, z near p so that $\alpha = dz - y dx$.

Symmetries of contact structures

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Moreover, “a deformation of ξ is equivalent to ξ ”:

Theorem (Gray stability theorem 1959)

Let ξ_t be a smooth family (an isotopy) of contact structures on M . Then there is an isotopy of diffeomorphisms $\psi_t: M \rightarrow M$ such that $\psi_{t*}\xi_0 = \xi_t$ for all t .

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Theorem (Lutz-Martinet)

Every (co-oriented) 2-plane field on M is homotopic to a contact structure.

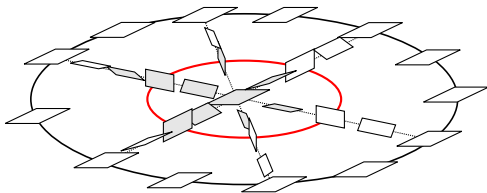
However, such contact structures are usually *overtwisted*.

Overtwisted contact geometry

Definition

An *overtwisted* contact structure is one that contains a specific contact disc called an *overtwisted disc*.

A non-overtwisted contact structure is called *tight*.

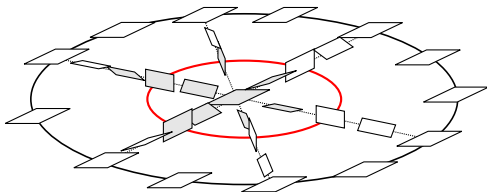


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Theorem (Eliashberg 1989)

$$\{ \text{Overtwisted cont. str's on } M \} \simeq \{ \text{2-plane fields on } M \}$$

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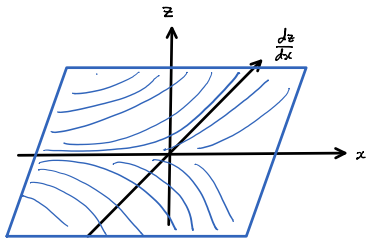
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- Complement of Seifert surface of special alternating link: complicated & known! (Kálmán–M. 2017)

Definition

The *characteristic foliation* on an embedded surface S is the 1-dimensional singular foliation given by $TS \cap \xi$.

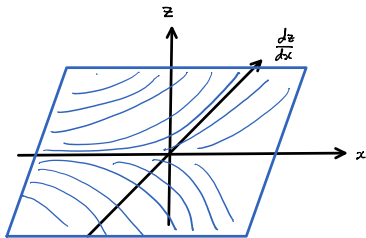


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Surfaces in contact 3-manifolds

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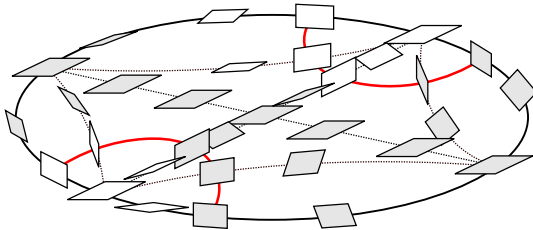
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Definition (Giroux 1991)

An embedded surface S in a contact 3-manifold is *convex* if there is a contact vector field X transverse to S .

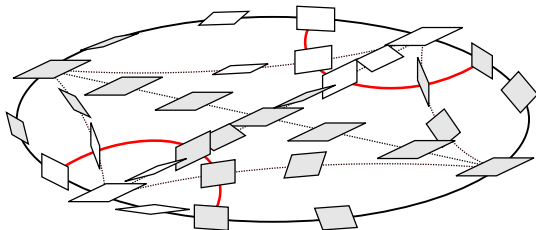
Convex surfaces (Giroux 1991)

Draw S horizontal, X vertical. Colour ξ 's sides black and white.



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R_+ : where black side faces up. R_- : where white side faces up.

Definition

The **dividing set** Γ is where ξ is vertical: $\Gamma = \{p \in S : X(p) \in \xi\}$.

Γ is a smooth embedded 1-manifold transverse to \mathcal{F}

Giroux (1991) showed that, amazingly:

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Moral

A contact structure near an embedded surface in a contact 3-manifold is described by the isotopy class of the dividing set.

3D contact topology is very discrete / combinatorial!

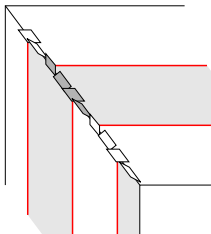
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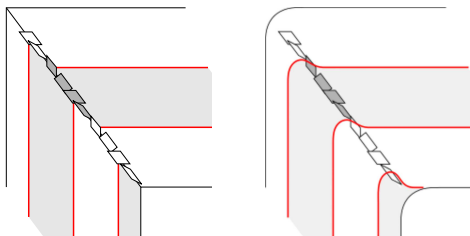


Dividing sets interleave along a corner.

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The corner can be rounded; the dividing set behaves as shown.

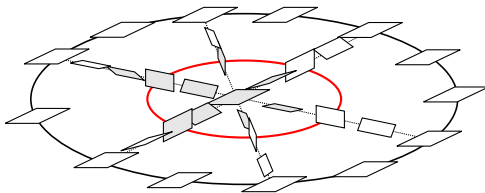
Interpreting dividing sets

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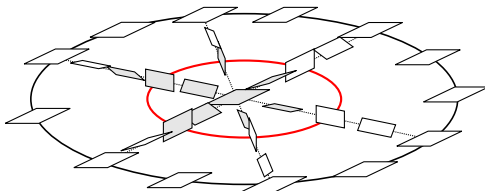
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Theorem (Giroux's criterion, II)

The contact structure near $S \approx S^2$ is overtwisted iff Γ consists of more than one curve.

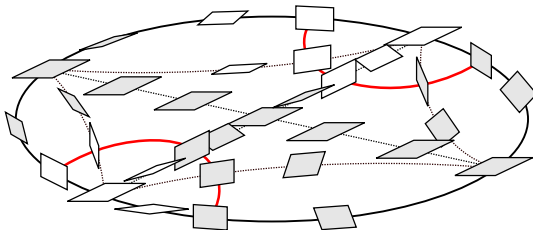
Section 2: What is a contact category?

The contact category

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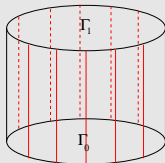
Definition (The contact category $\mathcal{C}(S, F)$)

Objects are:

- *Isotopy classes of tight dividing sets Γ on S with $\partial\Gamma = F$*

Morphisms $[\Gamma_0] \rightarrow [\Gamma_1]$ are:

- *isotopy classes of tight contact structures on $S \times [0, 1]$ with boundary conditions shown*



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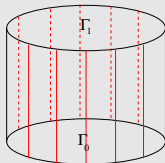
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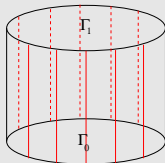
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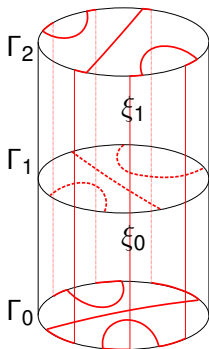
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- A single morphism $\mathbf{0}_{[\Gamma_0], [\Gamma_1]}$ for OT contact structures.

Definition (The contact category $\mathcal{C}(S, F)$ (cont.))

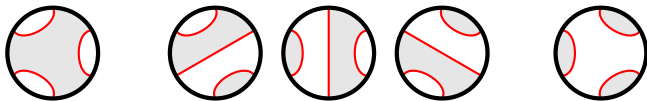
- *Composition* $[\Gamma_0] \xrightarrow{[\xi_0]} [\Gamma_1] \xrightarrow{[\xi_1]} [\Gamma_2]$: stack ξ_0 and ξ_1 .
- *Identity* $[\Gamma] \rightarrow [\Gamma]$: the I -invariant structure on $S \times I$.



Take $(S, F) = (D^2, 6 \text{ points})$.

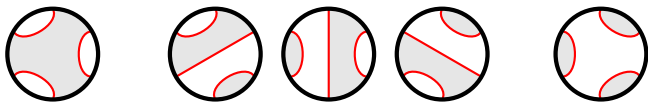
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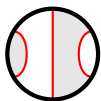
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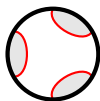
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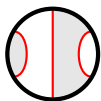
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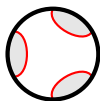
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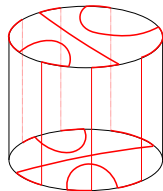
Definition (Euler class)

$$e(\Gamma) = e(\xi_\Gamma)[S] = \chi(R_+) - \chi(R_-)$$

So $\mathcal{C}(S, F) = \bigsqcup_e \mathcal{C}(S, F, e)$.

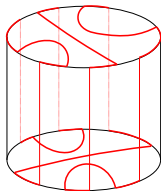
Morphisms  ?

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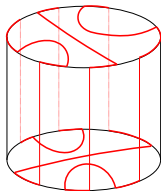
A B^3 with tight boundary: so 1 tight morphism.



Morphisms  ?

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- Bypass

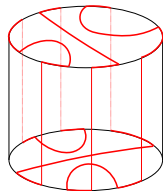


An example (cont.)

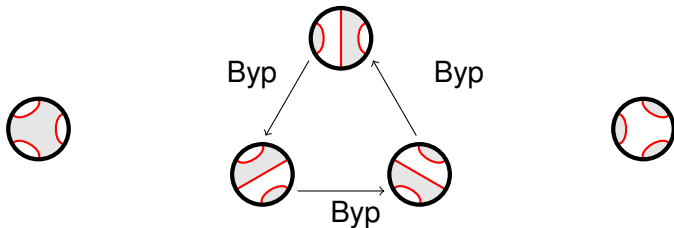
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- **Bypass**



Complete set of morphisms (between tight objects):

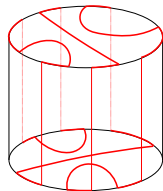


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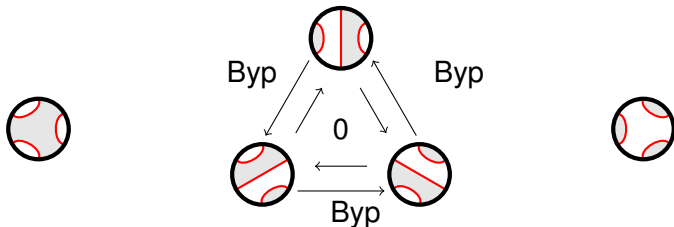
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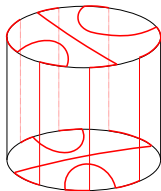
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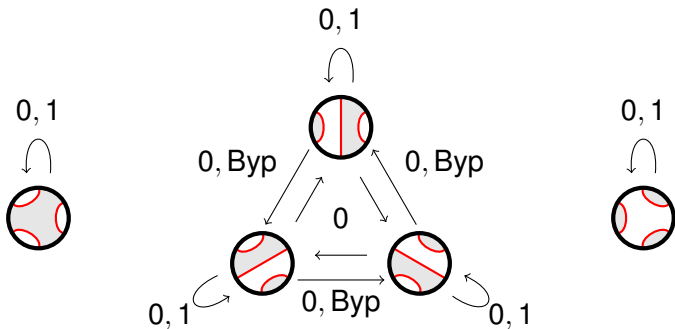
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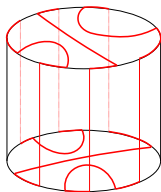


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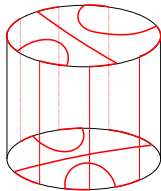


Section 3: Properties of contact categories

A *bypass morphism* is a morphism made by adding a bypass to a convex surface.



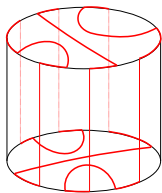
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Theorem (Colin, Honda)

Every morphism in $\mathcal{C}(S, F)$ is a composition of bypass morphisms.

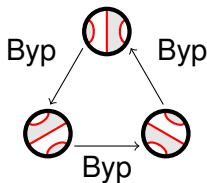
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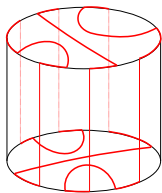
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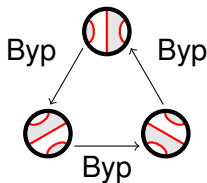
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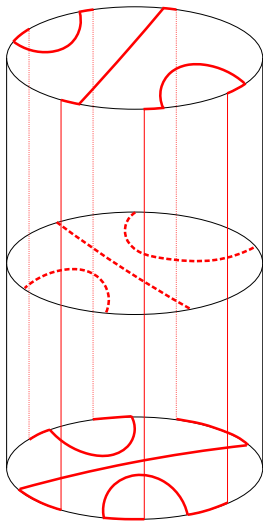
Bypass morphisms form *bypass triangles*.

The composition of two is zero/overtwisted.

Composition of all three “has homotopy class -1 ”.

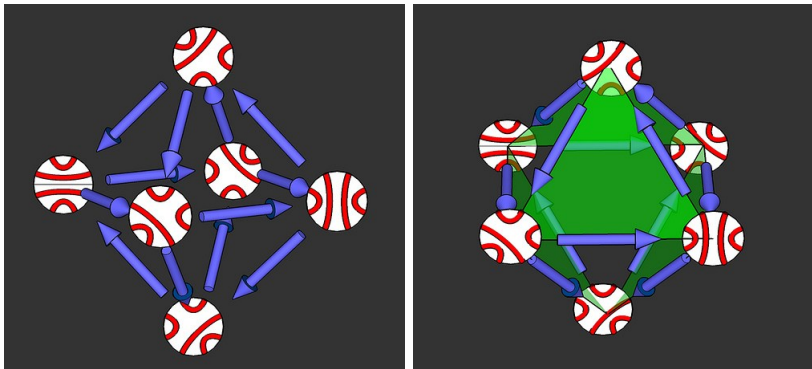


Two bypasses form an overtwisted disc



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Source: Ken Baker's Sketches of Topology

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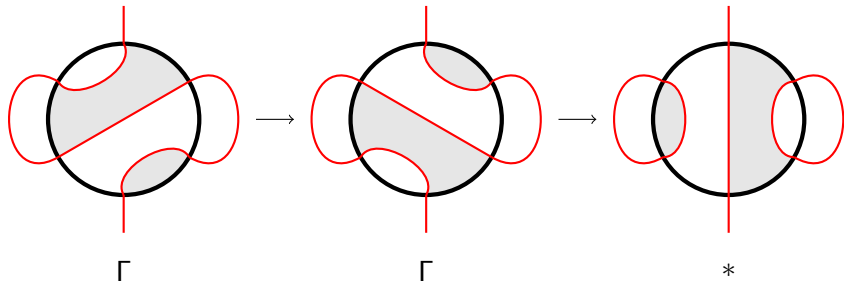
Theorem (Honda?, M.)

- 1 *$\text{Mor}(*, \Gamma)$ and $\text{Mor}(\Gamma, *)$ are trivial.*
- 2 *Any triple of morphisms $\Gamma \xrightarrow{1} \Gamma \longrightarrow * \longrightarrow \Gamma$ is a bypass triangle.*
- 3 *If a triple of morphisms is isomorphic to a bypass triangle, then it is a bypass triangle.*
- 4 *Any upper cap diagram, with all morphisms arising from bypass triangles, can be completed to an octahedron diagram.*

$$\Gamma \xrightarrow{1} \Gamma \longrightarrow * \longrightarrow \Gamma$$

Distinguished triangles

$$\Gamma \xrightarrow{1} \Gamma \longrightarrow * \longrightarrow \Gamma$$



The \mathbb{Z}_2 *Grothendieck group* $K(\mathcal{C})$ of \mathcal{C} is the free \mathbb{Z}_2 -module on objects modulo the relation

$X + Y + Z = 0$ when $X \rightarrow Y \rightarrow Z \rightarrow X$ is bypass triangle.

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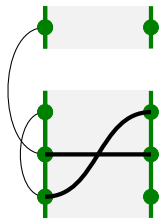
$$K(\mathcal{C}(S, F)) \cong SFH(S \times S^1, F \times S^1)$$

where $SFH(M, \Gamma)$ is the sutured Floer homology of the sutured 3-manifold (M, Γ) .

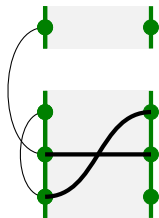
Section 4:

Let's all go down the strand

A *strand algebra* is made up of diagrams like this:



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They do not look like contact geometry!

And yet they are...

Let's all go *up* the strand

Z : an oriented interval.

$\mathbf{a} = (a_1 < \cdots < a_n)$: a set of points on Z .

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Definition

An *unconstrained strand diagram* is a triple (S, T, ϕ) , where $S, T \subseteq \mathbf{a}$ and $\phi: S \rightarrow T$ is a non-decreasing bijection, $x \leq \phi(x)$.

Let's all go *up* the strand

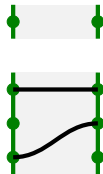
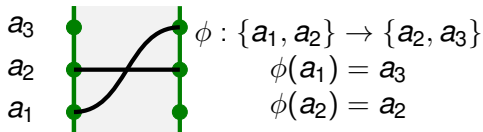
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Strands never go down.

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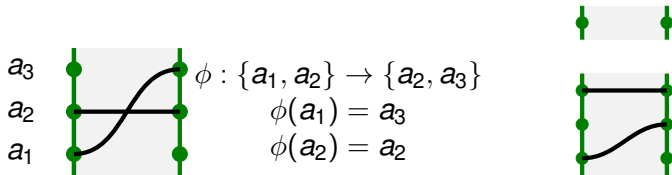
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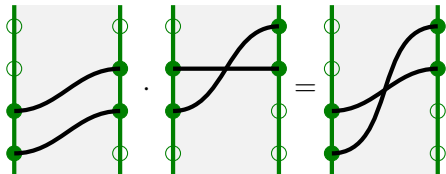


Strands never go down.

We can do the same with several intervals $\mathbf{Z} = (Z_1, \dots, Z_l)$.

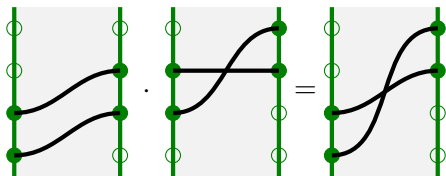
Multiplication: the name of the game

Multiply strand diagrams by composition, if you can (else 0).

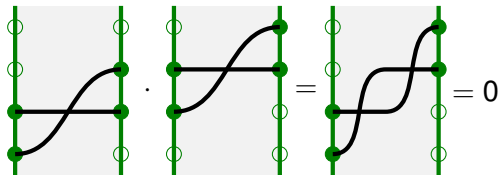


Multiplication: the name of the game

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Except if a Reidemeister 2 move simplifies diagram. Then 0.

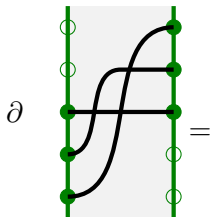


Differentiators gonna differentiate

The differential operator ∂ resolves each crossing.

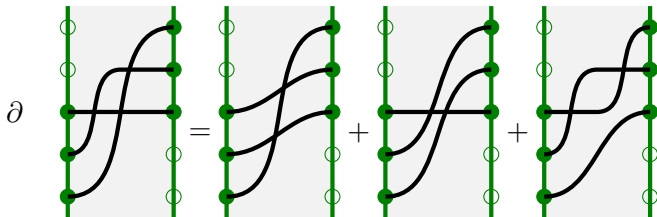
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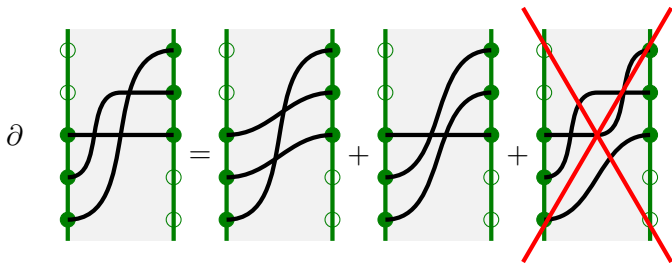
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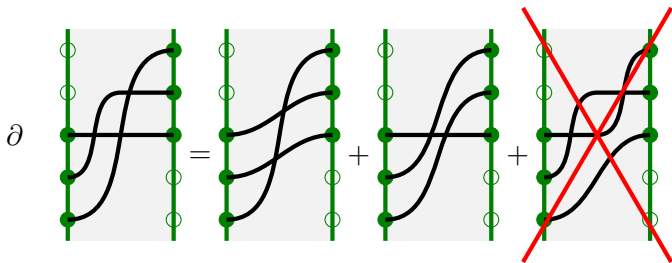
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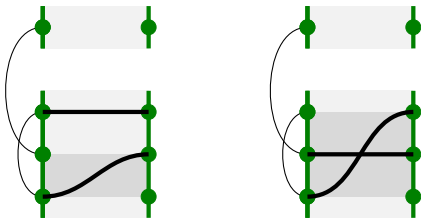
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Definition/lemma

The *unconstrained strand algebra* $\mathcal{A}(\mathbf{Z}, \mathbf{a})$ is the \mathbb{Z}_2 differential graded algebra generated by strand diagrams.

Constraining strand diagrams

Join the points \mathbf{a} on the intervals \mathbf{Z} in pairs by a matching M .
The data $\mathcal{Z} = (\mathbf{Z}, \mathbf{a}, M)$ is called an *arc diagram*.*

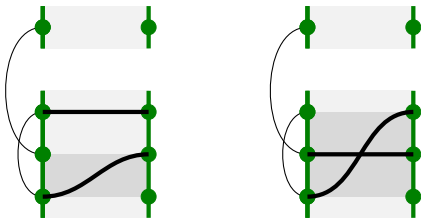


* Conditions apply.

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Prohibit strand diagrams from beginning at paired points! Or ending!

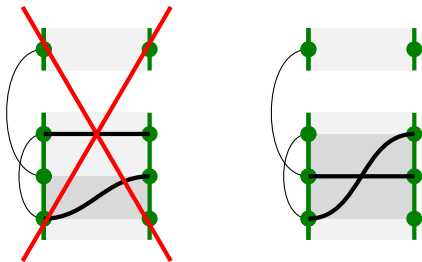


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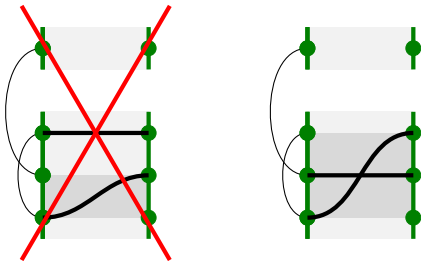


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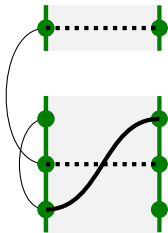


Such strand diagrams are *\mathcal{Z} -constrained*.

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Observation

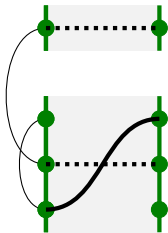
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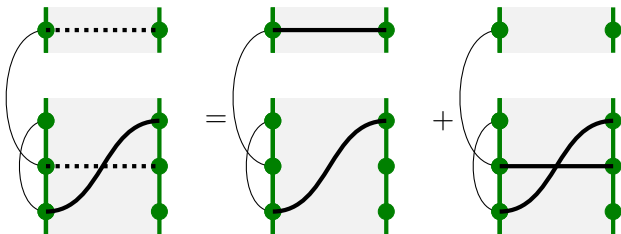
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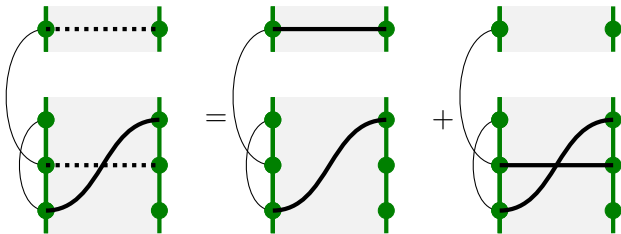
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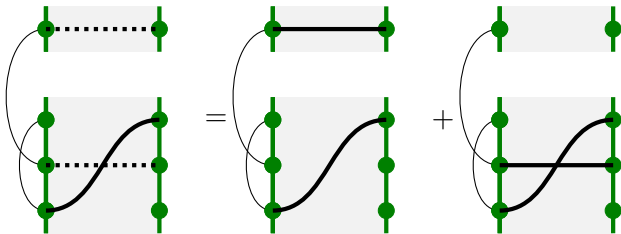


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Definition

The *strand algebra* $\mathcal{A}(\mathcal{Z})$ is the sub-DGA of $\mathcal{A}(\mathbf{Z}, \mathbf{a})$ generated by symmetrised \mathcal{Z} -constrained diagrams.

Quadrangulated surfaces

Arc diagrams correspond to *quadrangulated surfaces*:

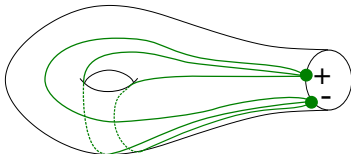
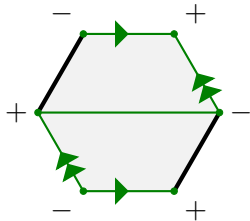
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- a compact oriented surface with boundary Σ
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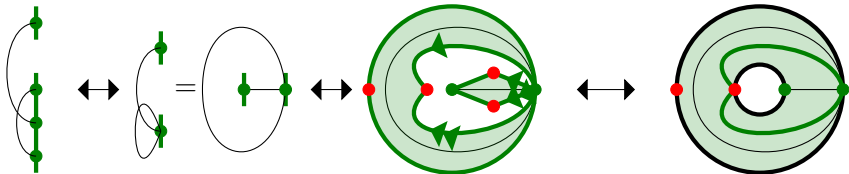
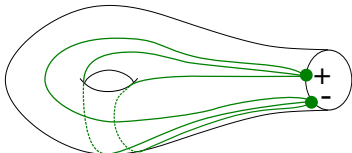
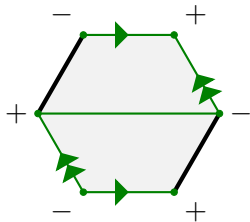
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Theorem (M.)

There is an isomorphism of \mathbb{Z}_2 -algebras

$$H(\mathcal{A}(\mathcal{Z})) \cong CA(\Sigma, Q),$$

where

- *the arc diagram \mathcal{Z} corresponds to quadrangulated (Σ, Q)*
- *$H(\mathcal{A}(\mathcal{Z}))$ is the homology of $\mathcal{A}(\mathcal{Z})$*
- *$CA(\Sigma, Q)$ is the category algebra of $\mathcal{C}(\Sigma, Q)$.*

Theorem (Kadeishvili 1980)

The homology of a DGA has a natural A_∞ algebra structure.

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The contact category algebra $CA(\Sigma, Q)$ has an A_∞ structure.

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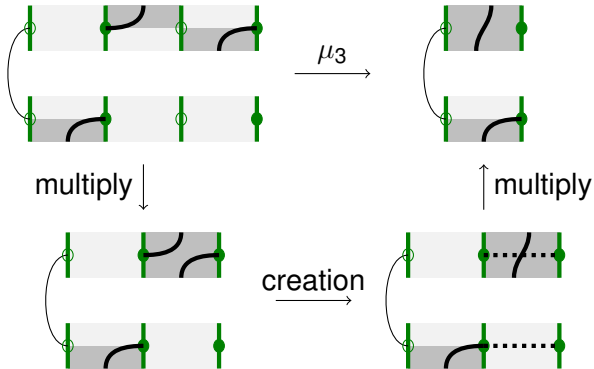
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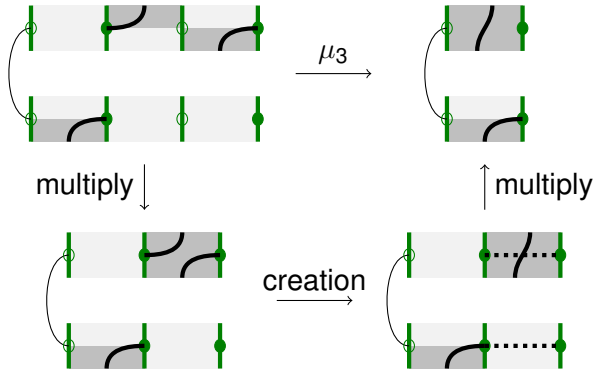
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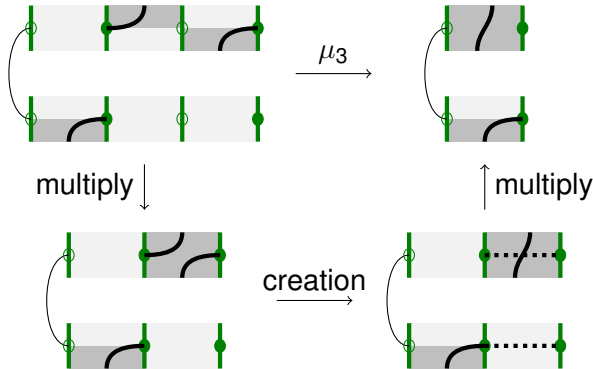
Theorem (M.)

The A_∞ structure on $CA(\Sigma, Q)$ can be given explicitly in terms of bypasses or strands.





What about the full category $\mathcal{C}(\Sigma, F)$?



What about the full category $\mathcal{C}(\Sigma, F)$?

Conjecture (Proved for discs by Honda–Tian, 2016)

The universal cover of $\mathcal{C}(\Sigma, F)$ embeds into the homotopy category of bounded chain complexes of finitely generated projective left $H(\mathcal{A}(\mathcal{Z}))$ -modules.

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Heegaard Floer homology is a powerful set of invariants of 3-manifolds, knots, links... (Ozsváth–Szabó, 2004)

- The Grothendieck group $K(\mathcal{C}(S, F))$ is isomorphic to sutured Floer homology (Juhász 2006), the version for sutured manifolds.
- Bordered Floer homology (Lipshitz–Ozsváth–D. Thurston 2014) constructs various A_{∞} -*-modules over the strands algebra

Thanks for listening!

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